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Front Office

A-11-001-10 Policy File - Arrange by TAGS and Terms

Description: Congressionals, correspondence, memorandums, telegrams, reports and other

documentation on security covering awareness, counterintelligence,

countermeasures, counterterrorism, diplomatic couriers, emergency plans, field offices, information systems, investigations, overseas operations, Overseas Security Advisory Council (OSAC), physical security, procedures, protection,

services, technology, threats, and other related subjects.

Disposition: Permanent. Cut off file at end of each calendar year. Retire to RSC 3 years after

cut off date. Transfer to WNRC 5 years after cut off date. Transfer to the

National Archives 30 years after cut off date.

DispAuthNo: N1-59-94-43, item 1(1)a **Date Edited:** 7/24/2007

A-11-001-11 Assistant Secretary's Chronological File - Arrange by message reference

number or by date

Description: Extra copies of correspondence, memorandums, notes, official-informal, reports,

speeches, statements, telegrams and other documentation maintained by or for the

direct use of the Assistant Secretary or Deputy Assistant Secretary.

Disposition: Permanent. Cut off file at end of each calendar year. Retire to RSC 1 year after

cut off date. Transfer to WNRC 3 years after cut off date. Transfer to the

National Archives 30 years after cut off date.

DispAuthNo: N1-59-94-43, item 1(1)b **Date Edited:** 7/24/2007

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Executive Director

A-11-002-01 Privacy Act General - Administrative File - Arrange by TAGS and Terms

Description: Correspondence, memorandums, notices, and other documentation on the Privacy

Act covering DS's implementation of the Act.

Disposition: Cut off file at end of each calendar year. Destroy 2 years after cut off date.

DispAuthNo: GRS 14, item 26 Date Edited: 4/1/1999

A-11-002- Privacy - Case File - Documentation on individual Privacy Act requests covering access to their security records or to have them amended

Description: a. Copy of requestor's letter, final response, record of areas searched, results, and

Request Transmittal Sheet (DS-1748).

Disposition: Retire to DS central file when 2 years old or after final action.

DispAuthNo: N1-59-94-43, item 102a **Date Edited:** 4/1/1999

A-11-002- Privacy - Case File - Documentation on individual Privacy Act requests covering access to their security records or to have them amended

Description: b. All other items.

Disposition: Destroy 2 years after final action.

DispAuthNo: N1-59-94-43, item 102b **Date Edited:** 4/1/1999

A-11-002-20 Security Awareness Program - Subject File

Description: Informational and educational materials; brochures; Congressionals; general

correspondence; memorandums; memorandums of conversation; press guidance; publications; speeches; and telegrams - dealing with - security awareness policies, programs and issues on: Department and post facilities; exhibits; the Foreign Service Institute; investigations; the Marine Corps Security Guard Program; the Materials Review Board; the Overseas Advisory Council; protection; public and media inquiries; public service campaigns; reward programs; security; technical

security; terrorism; threats; and professional security training.

Disposition: Block by year. Cut off at the end of the calendar year. Retire to RSC when 3

years old. Destroy when 5 years old.

DispAuthNo: N1-59-92-19, item 1 **Date Edited:** 4/1/1999

A-11-002-21 Security Awareness Program - Motion Picture Films

Description: Classified or unclassified films, originals or duplicates, not produced by or for the

Department.

Disposition: Destroy when out of date or no longer needed.

DispAuthNo: N1-59-92-19, item 3 **Date Edited:** 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-002-

Security Awareness Program - Publications

22a

Description: a. Master Set. One copy of each publication dealing with overall reports on terrorist

activities and political violence.

Permanent. Retire to RSC when 3 years old. RSC transfer to WNRC after 5 Disposition:

years and offer to NARA after 30 years. Destroy all other publications.

DispAuthNo: N1-59-92-19, item 2a

Date Edited: 4/1/1999

A-11-002-22b

Security Awareness Program - Publications

b. Distribution Copies. All other publications and distribution copies. **Description:**

Disposition: Destroy when the publication is updated or is obsolete.

Date Edited: 4/1/1999 DispAuthNo: N1-59-92-19, item 2b

A-11-002-

22c

Security Awareness Program - Publications

Description: c. Art Work. Graphic art work of all types used to make covers and illustrations for

publications: camera ready copies, hard copies, negatives, photographs, photostats,

Destroy when the publication is updated or is obsolete. Disposition:

DispAuthNo: N1-59-92-19, item 2c Date Edited: 4/1/1999

A-11-002-23a

Security Awareness Program - Slides - Produced by or for the Department

Description:

a. Original Slides - Historical - Classified and unclassified slides produced by or for

the Department that cover historical persons, places, events, or things.

Permanent. Block by year. Retire all items in the block to RSC 1 year after Disposition:

> determination that all of the items, photographs, and slides in the block are out of date and no longer needed for current operations. RSC transfer immediately and

directly to the National Archives.

DispAuthNo: N1-59-92-19, item 6a Date Edited: 4/1/1999

A-11-002-23b

Security Awareness Program - Slides - Produced by or for the Department

b. All Other Slides - Classified or unclassified slides, originals or copies, produced Description:

for or by the Department.

Disposition: Destroy 3 years after a determination that they are out of date or no longer

needed.

N1-59-92-19, item 6b Date Edited: 4/1/1999 DispAuthNo:

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A-11-002- Security Awareness Program - Slides - Not Produced by or for the Department

23c

Description: c. Classified or unclassified slides not produced by or for the Department.

Disposition: Destroy 1 year after a determination that they are out of date or no longer needed.

DispAuthNo: N1-59-92-19, item 6c **Date Edited:** 4/1/1999

A-11-002-24a Security Awareness Program - Still Photographs and Negatives - Historical Photographs, and Negatives - Produced by or for the Department

Description: Classified and unclassified photographs produced by or for the Department that

cover current or historical persons, places, events, or things. This also includes photographs that are covered by copyrights which the Department has purchased a

limited or unlimited right to reproduce.

a. Original photograph and 1(one) negative, if any.

Disposition: Permanent. Block by year. Retire all items in the block to RSC 1 year after

determination that all of the photographs, and negatives in the block are out of date and no longer needed for current operations. RSC transfer immediately and

directly to the National Archives.

DispAuthNo: N1-59-92-19, item 5a **Date Edited:** 4/1/1999

A-11-002- Security Awareness Program - Still Photographs and Negatives - Produced by

24b or for the Department

Description: b. All other photographs. Classified or unclassified photographs produced by or for

the Department.

Disposition: Destroy 3 years after a determination that they are out of date or no longer

needed.

DispAuthNo: N1-59-92-19, item 5b **Date Edited:** 4/1/1999

A-11-002- Security Awareness Program - Still Photographs and Negatives -

24c Photographs not produced by or for the Department

Description: c. Classified or unclassified photographs, originals, duplicates, or negatives, not

produced by or for the Department.

Disposition: Destroy 1 year after a determination that they are out of date or no longer needed.

DispAuthNo: N1-59-92-19, item 5c **Date Edited:** 4/1/1999

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A-11-002-25a(1) **Security Awareness Program - Video Cassette Tapes**

Description:

Classified and unclassified; video-cassette tapes, of any formal (Video Home System - VHS; Betamax - Beta, Betacam; Umatic; etc.), used to record audio-video information via electronic video-cassette recorder (VCR) systems; produced by or for the Department that have useful current or historical information about persons, places, events, or things.

- a. Historical tapes produced by or for the Department.
- (1) Original tapes, if no longer needed to make copies, and 1 working copy.

Disposition:

Retire to RSC when 2 years old. RSC offer all titles to the National Archives immediately. Those not accepted for transfer to the National Archives will be destroyed when no longer needed.

DispAuthNo:

N1-59-92-19, item 4a(1)

Date Edited:

4/1/1999

A-11-002-25a(2)(a)

Security Awareness Program - Video-Cassette Tapes

Description:

Classified and unclassified; video-cassette tapes, of any formal (Video Home System - VHS; Betamax - Beta, Betacam; Umatic; etc.), used to record audio-video information via electronic video-cassette recorder (VCR) systems; produced by or for the Department that have useful current or historical information about persons, places, events, or things.

- a. Video-Cassette Tapes-Historical tapes produced by or for the Department.
- (2) Duplicate tapes
- (a) Classified tapes

Disposition:

Destroy when out of date or no longer needed.

DispAuthNo:

GSR 21, item 21

Date Edited:

4/1/1999

Chapter 11: Diplomatic Security Records

A-11-002-25a(2)(b) **Security Awareness Program - Video-Cassette Tapes**

Description:

Classified and unclassified; video-cassette tapes, of any formal (Video Home System - VHS; Betamax - Beta, Betacam; Umatic; etc.), used to record audio-video information via electronic video-cassette recorder (VCR) systems; produced by or for the Department that have useful current or historical information about persons, places, events, or things.

a. Video-Cassette Tapes-Historical tapes produced by or for the Department.

(2) Duplicate tapes

(b) Unclassified tapes

Disposition: Erase tapes when out of date or no longer needed and reuse.

DispAuthNo: GSR 21, item 21 **Date Edited:** 4/1/1999

A-11-002-25b Security Awareness Program - Video-Cassette Tapes not produced by or for

the Department

Description: b. Classified or unclassified tapes, originals or duplicates, not produced by or for

the Department.

Disposition: Destroy 1 year after a determination that tape is out of date or no longer needed.

DispAuthNo: N1-59-92-19, item 4b **Date Edited:** 4/1/1999

A-11-002-30

Advisory Panel on Overseas Security - Arrange by subject or type of records

Description:

Memorandums, correspondence, notes, briefing materials, telegrams, minutes of meetings, taskers and responses, reports, statements, drafts, and other documentation relating to the work and activities of the Panel (Inman). The file includes reports and other material submitted to the Panel by other agencies. The Panel was established to develop a comprehensive foreign affairs agency plan to meet the challenges of security of U.S. Government operations abroad, and of

foreign governments in the U.S.

Disposition: Permanent. Retire to RSC for immediate transfer to WNRC. Transfer to the

National Archives in 2010.

DispAuthNo: N1-59-95-28 **Date Edited:** 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-002-31 Security Planning - Program File - Arrange by TAGS and Terms

Description: Charts, congressionals, plans, and other documentation on security planning

covering background information, budget, evaluations, goals, initiatives, inspections, internal controls, management controls and systems, mile stone charts, Office of the Inspector General (OIG) inspection replies, operational planning systems, operations, programs, requirements, security, vulnerabilities, and other related

subjects.

Disposition: TEMPORARY: See sub sections 31a-c for specific dispositions.

DispAuthNo: N1-59-94-43, item 103 **Date Edited:** 8/2/2007

A-11-002-31a Security Planning - Program File - Arrange by TAGS and Terms

Description: a. Milestone Charts.

Disposition: Cut off file at end of every 5 calendar years. Destroy 5 years after cut off date.

DispAuthNo: N1-59-94-43, item 103a **Date Edited:** 4/1/1999

A-11-002-31b Security Planning - Program File - Arrange by TAGS and Terms

Description: b. Office of the Inspector General (OIG) inspection replies.

Disposition: Cut off file at end of every 5 calendar years. Destroy when no longer needed.

DispAuthNo: N1-59-94-43, item 103b **Date Edited:** 4/1/1999

A-11-002-

31c

Security Planning-Program File - Arrange by TAGS and Terms

Description: c. All other material.

Disposition: Cut off file at end of every 5 calendar years. Destroy 1 year after cut off date.

DispAuthNo: N1-59-94-43, item 103c **Date Edited:** 4/1/1999

A-11-002-

32a

Security Policy Publications File

Description: Briefing books, memorandums, memorandums of understanding, reports, and other

documentary material on security policies covering approvals, audits, clearances, other agencies, policies, security, services, standards, 12 FAM Diplomatic Security,

and other related subjects.

a. Master set.

Disposition: Permanent. Retire to RSC 1 year after updated for transfer to WNRC. Transfer

to National Archives after 30 years.

DispAuthNo: N1-59-94-43, item 104a **Date Edited:** 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-002-32b **Security Policy Publications File**

Description:

Briefing books, memorandums, memorandums of understanding, reports, and other documentary material on security policies covering approvals, audits, clearances, other agencies, policies, security, services, standards, 12 FAM Diplomatic Security, and other related subjects.

b. All other copies.

Disposition: Destroy when updated.

DispAuthNo: N1-59-94-43, item 104b **Date Edited:** 4/1/1999

A-11-002-40a **Agent Credentials - Program File**

Description: Accountability Receipts Forms for DSS Identification Media, correspondence,

memorandums, reports on agents' credentials covering badge numbers, badges, cards, couriers, credential numbers, credentials (cards), engineers, pins, receipts,

security officers, special investigators, and other related subjects.

a. Receipts and related documentation. Arrange file by media identification number

Disposition: Retain in office. Cut off file upon credential design change. Destroy 25 years

after cut off date.

DispAuthNo: N1-59-94-43, item 98a **Date Edited:** 4/1/1999

A-11-002-40b Agent Credentials - Program File - Arrange by subject

Description: Accountability Receipts Forms for DSS Identification Media, correspondence,

memorandums, reports on agents' credentials covering badge numbers, badges, cards, couriers, credential numbers, credentials (cards), engineers, pins, receipts,

security officers, special investigators, and other related subjects.

b. General correspondence.

Disposition: Retain in office. Cut off file at the end of each calendar year. Destroy 25 years

after cut off date.

DispAuthNo: N1-59-94-43, item 98b **Date Edited:** 4/1/1999

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A-11-002-41 Agent Training - Case File - Arrange by agent

Description: Certificates, DSTR Training Form (DS-1889), and Request, Authorization,

Agreement, and Certification of Training (SF-182) on agent basic security training or extension training covering attendance, courses, funding, transcripts, verification of

training, and other related subjects.

Disposition: Cut off file at termination of employment with Department. Retire to RSC 1 year

after cut off date. Destroy 5 years after cut off date.

DispAuthNo: N1-59-94-43, item 99 **Date Edited:** 4/1/1999

A-11-002-42 Class - Program File - Arrange by class

Description: Certificates, and memorandums on security training covering attendance records,

class schedules, evaluations, instructor notes, report cards, rosters, travel vouchers,

and other related subjects.

Disposition: Cut off file at end of class. Destroy 10 years after cut off date.

DispAuthNo: N1-59-94-43, item 100 **Date Edited:** 4/1/1999

A-11-002-43 Emergency Security Support - Program File - Arrange by post

Description: Telegrams and other documentation on posts emergency security support covering

American community briefings, counter-terrorism defensive driving, counter threats, deployments, emergencies, evacuations, firearms, hostages, improvised explosive devices (IED), local guards, operations, personal protection, post security programs, protection, responses, safety, security, security situations, security support teams (SST), surveillance, terrorists, threats, U.S. Marine Corps security guards, and other

related subjects.

Disposition: Cut off file at end of each calendar year. Destroy 1 year after cut off date.

DispAuthNo: N1-59-94-43, item 95 **Date Edited:** 4/1/1999

A-11-002-44 Security Grant Administrative File

Description: Correspondence relating to routine operations and daily activities in administration

of the grant program.

Disposition: Destroy when 2 years old.

DispAuthNo: N1-59-94-43, item 106 **Date Edited:** 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-002-45 Security Grant Case Files

Description: Individual grants (originals) and cooperative agreements (originals) on antiterrorism

training, protective services, and covering amendments, basic agreements, cash transaction reports, certifications, correspondence, expenditures, FBI, Federal Assistance Award (DS-1909), final products, final reports, grant policy directives, grant program, local police, OIG audit reports, Cost Principles for State and Local Governments, Grants and Cooperative Agreements (with State and Local Governments, and universities), operational responsibilities, payments (copies of),

protection, reports, schedules, services, state police, tasking orders, training,

vehicles, and other related subjects.

Disposition: Destroy 3 year after final payment or termination of agreement whichever is

sooner.

DispAuthNo: N1-59-94-43, item 105 **Date Edited:** 4/1/1999

A-11-002-46 Mobile Training Teams - Program File

Description: Telegrams and reports on posts security training by mobile training teams (MTT)

covering scheduled training, security support teams (SST) for unscheduled training, closing reports, crimes, counter threats, courses, course schedules, deployments, evacuations, personal security, protective training, safe haven emergency medical care training, security operations, terrorism, security training programs, terrorism, travel, trip reports, U.S. Marine Corps security guards, visits, and other related

subjects.

Arrange file by post.

Cut off file at end of each calendar year.

Disposition: Destroy 1 year after cut off date.

DispAuthNo: N1-59-94-43, item 96 **Date Edited:** 4/1/1999

A-11-002-47 RSO and SEO Training Class - Program File

Description: Correspondence, maps, memorandums, reports, slides, videos, and Request,

Authorization, Agreement, & Certification of Training (SF-182) on regional security officer (RSO) and security engineering officer (SEO) training covering background and briefing materials, construction, counter-terrorism, course materials, courses,

diplomatic couriers, engineering, handouts, instructors, instructor notes,

investigations, local guards, locks, notifications, U.S. Navy Seabees, requests to teach, schedules, security awareness, speakers, special training, student rosters, surveillance, technical security awareness, travel, U.S. Marine Corps security

guards, and other related subjects.

Disposition: TEMPORARY: See sub sections 47a & b for specific disposition

DispAuthNo: N1-59-94-43, Item 94 **Date Edited:** 7/23/2007

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A-11-002-

RSO and SEO Training Class - Program File - Arrange by class

47a

Description: a. RSO Classes.

Arrange files by class.

Disposition: Cut off at the end of each calendar year. Destroy 2 years after cut off date.

DispAuthNo: N1-59-94-43, item 94a Date Edited:

A-11-002-

47b

RSO and SEO Training Class - Program File - Arrange by class

b. SEO Classes. **Description:**

Arrange files by class

Disposition: Cut off file at end of each calendar year. Destroy 5 years after cut off date.

N1-59-94-43, item 94b 4/1/1999 DispAuthNo: Date Edited:

A-11-002-48 **Training Courses - Program Files**

Description: Memorandums, summary reports, and other documentation on the design and

evaluation of training courses or lesson plans covering course historical

documentation, data, development and final plans, evaluations, instructional aids and objectives, RSO's, SEO's, student handouts, working lesson plans, and other

4/1/1999

related subjects.

Disposition: See sub sections 48a & b for specific disposition

N1-59-94-43, item 97 Date Edited: 7/23/2007 DispAuthNo:

A-11-002-

48a

Training Courses - Program Files

a. Course Design and Evaluation File - arrange file by course **Description:**

Retain in office. Cut off course file when obsolete and place in inactive file. Disposition:

Destroy 25 years after cut off date.

DispAuthNo: N1-59-94-43, item 97a Date Edited: 4/1/1999

A-11-002-

48b

Training Courses - Program Files

Description: b. Course Lesson Plans - Master Copy File - arrange file by plan

Disposition: Retain in office. Cut off course file when obsolete and place in inactive file.

Destroy 25 years after cut off date.

DispAuthNo: N1-59-94-43, item 97b Date Edited: 4/1/1999

Chapter 11: Diplomatic Security Records

Countermeasures and Counterintelligence

A-11-003- Alarm Systems Files - Reports on fire and security alarms covering the

10a(1) Central Monitoring Station (CMS)

Description: a. Fire Alarm Report File. Activity reports generated by the Department's

Interactive Technologies Inc. (ITI) fire alarm system.

(1) Printouts.

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-94-43, item 36a(1) **Date Edited:** 4/1/1999

A-11-003- Alarm Systems Files - Reports on fire and security alarms covering the 10a(2) Central Monitoring Station (CMS)

Description: a. Fire Alarm Report File. Activity reports generated by the Department's

Interactive Technologies Inc. (ITI) fire alarm system.

(2) Cassettes.

Disposition: Reuse when 2 weeks old. Destroy tape when no longer usable.

DispAuthNo: N1-59-94-43, item 36a(2) **Date Edited:** 4/1/1999

A-11-003- Alarm Systems Files - Reports on fire and security alarms covering the Central Monitoring Station (CMS)

Description: b. Security Alarm Report File. Activity reports generated by the Department's

Monitor Dynamics Security System (MDI) security alarm system.

(1) Printouts.

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-94-43, item 36b(1) **Date Edited:** 4/1/1999

A-11-003- Alarm Systems Files - Reports on fire and security alarms covering the Central Monitoring Station (CMS)

Description: b. Security Alarm Report File. Activity reports generated by the Department's

Monitor Dynamics Security System (MDI) security alarm system.

(2) Cassettes.

Disposition: Reuse when 2 weeks old. Destroy tape when no longer usable.

DispAuthNo: N1-59-94-43, item 36b(2) **Date Edited:** 4/1/1999

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A-11-003- Alarm Systems Files - Reports on fire and security alarms covering the

10c Central Monitoring Station (CMS)

Description: c. Security Monitoring Cameras File. Video Home System (VHS) video cassette

tapes generated by the security monitoring cameras.

Disposition: Reuse when 1 week old. Destroy tape when no longer usable.

DispAuthNo: N1-59-94-43, item 36c **Date Edited:** 4/1/1999

A-11-003- Building Pass Files

11a

Description: a. Card Issuance File. Authorization to Issue Permanent Department of State

Employee I.D. Card (DS-1838), Authorization to Issue Department of State Building Pass (DS-1839), and other documentation on I.D. cards and building passes covering authorizations, buildings, issuance's, and other related subjects.

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-94-43, item 35a **Date Edited:** 4/1/1999

A-11-003- Building Pass Files 11b(1)

Description: Card Activity History File. On-line history of building pass usage.

(1) Printouts.

Disposition: Destroy when 6 months old.

DispAuthNo: N1-59-94-43, item 35b(1) **Date Edited:** 4/1/1999

A-11-003- Build 11b(2)

B- Building Pass Files

Description: Card Activity History File. On-line history of building pass usage.

(2) Cassettes, floppies, and magnetic tapes.

Disposition: Destroy when 3 years old.

DispAuthNo: N1-59-94-43, item 35b(2) **Date Edited:** 4/1/1999

A-11-003-12 Building Passes for Persons who are not Department Employees - Case File

Description: Applications for Department of State Building Pass (DSP-97), and Security

Investigation Data for Nonsensitive Position (SF-85) on persons who are not Department employees covering applications, building passes, investigations, and

other related subjects.

Disposition: Destroy 1 year after cancellation of pass.

DispAuthNo: N1-59-94-43, item 30 **Date Edited:** 4/1/1999

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A-11-003-13 Data Cards File

Description: Data cards on individuals covering the issuance of building passes.

Disposition: Destroy when new pass is issued or at termination of employment.

DispAuthNo: N1-59-94-43, item 31 **Date Edited:** 4/1/1999

A-11-003-14 Facilities Physical Security - Program File - Arrange by TAGS and Terms

Description: Accrediting reports, copies of contracts, memorandums, status reports, surveys,

and telegrams on physical security for facilities covering advice of allotments, antennas, certifications, comments, controlled access areas, construction, designs, drawings, funding, installations, new office buildings (NOB), plans, projects, rehabilitation, requirements, reviews, shipping, space, surveys, and other related

subjects.

Disposition: Cut off file at end of each calendar year. Retire to RSC 3 years after cut off date

for transfer to WNRC. Destroy 7 years after cut off date.

DispAuthNo: N1-59-94-43, item 51 **Date Edited:** 4/1/1999

A-11-003-15 Fire and Security Alarms File - Arrange by TAGS and Terms

Description: Correspondence, and reports on fire and security alarms covering opening and

closing schedules, lists of authorized personnel, alarm reports, and other related

subjects.

Disposition: Cut off file at the end of each calendar year. Destroy 3 years after cut off date.

DispAuthNo: GRS 18, item 10 Date Edited: 4/1/1999

A-11-003-16 Industrial Security Case File

Description: Documentation on security clearances covering the facilities of private

organizations, when the Department is interested in biding on a classified contract

which uses those facilities.

Disposition: Destroy upon close out of contract. (ref. NN-172-188, item 19)

DispAuthNo: N1-59-94-43, item 40 **Date Edited:** 4/1/1999

A-11-003-17 Industrial Security Inspection File

Description: Reports on contracts covering security classification inspections.

Disposition: Destroy 6 months after closeout of contract.

DispAuthNo: N1-59-94-43, item 41 **Date Edited:** 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-003-

18a

Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

a. Entry Notices.

Disposition:

Destroy when 3 months old.

DispAuthNo:

N1-59-94-43, item 32a

Date Edited:

4/1/1999

A-11-003-

18b

Internal Investigation - Program Files - Arrange by case. Documentation on investigations covering access controls, fires, medical emergencies, security

inspections, and other related subjects

Description:

b. Evidence. Reports, testimony, witnesses' statements, etc.

Disposition:

Destroy 2 years after case is completed.

DispAuthNo:

N1-59-94-43, item 32b

Date Edited:

4/1/1999

A-11-003-

18c

Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

c. Forms

Accident Report, GSA (SF-94-A), Claim for Damage, Injury or Death (SF-95), Data Bearing on Scope of Employment of Motor Vehicle Operator (OF-26), Operator's Report of Motor Vehicle Accident (SF-91), Statement of Witness (SF-

94).

Disposition:

Destroy when 3 years old.

DispAuthNo:

N1-59-94-43, item 32c

Date Edited:

4/1/1999

A-11-003-

18d(1)

Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

d. Logs

(1) Short Term Logs, Equipment Issue Log, Key Sign Out Log, Post Duty Log, Tape

Log, 24 Hour Duty Log.

Disposition:

Destroy when 3 months old.

DispAuthNo:

N1-59-94-43, item 32d(1)

Date Edited:

4/1/1999

Chapter 11: Diplomatic Security Records

A-11-003-18d(2) Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

d. Logs

(2) Long Term Logs, Alarm Logs, Employee Logs, Visitor Logs.

Disposition:

Destroy when 2 years old.

DispAuthNo:

N1-59-94-43, item 32d(2)

Date Edited:

4/1/1999

A-11-003-18e Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

e. Property Passes.

Disposition:

Destroy 3 months after expiration date.

DispAuthNo:

N1-59-94-43, item 32e

Date Edited:

4/1/1999

A-11-003-18f(1) Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

f. Reports

(1) Short Term Reports and Post Inspection Reports.

Disposition:

Destroy when 3 months old.

DispAuthNo:

N1-59-94-43, item 32f(1)

Date Edited:

4/1/1999

A-11-003-18f(2) Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

f. Reports

(2) Long Term Reports, Event Reports, Lost and Found Reports, Vehicle Activity and Inspection Reports, Vehicle Incident Reports, Vehicle Maintenance Reports,

Vehicle Monthly Reports.

Disposition:

Destroy when 2 years old.

DispAuthNo:

N1-59-94-43, item 32f(2)

Date Edited:

4/1/1999

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A-11-003-18f(3) Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description: (3) Access Control Reports, Guard Services Contract

Daily Assignment Report, General Inventory Report, Key Inventory Report, Master

Inventory Report, Post Inventory Report.

Disposition: Destroy 2 years after end of contract.

DispAuthNo: N1-59-94-43, item 32f(3)

Date Edited: 4/1/1999

A-11-003-18g Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description: g. Security Violations.

Disposition: Destroy 2 years after final action.

DispAuthNo: N1-59-94-43, item 32g **Date Edited:** 4/1/1999

A-11-003-18h Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description: h. VIP Pin Request.

Disposition: Destroy when 3 months old.

DispAuthNo: N1-59-94-43, item 32h **Date Edited:** 4/1/1999

A-11-003-19 Special Events Security - Program File - Arrange by event

Description: Correspondence, memorandums, reports, on all aspects of physical security for

special events that the Department hosts covering conferences, dignitary visits, guard orders, guests and participants lists, local police, meetings, requests for security requirements, technical security, training, U.S. Marine Corps, VIP escort

requests, and other related other subjects.

Disposition: Destroy when 3 months old. (ref. NN-172-188, item 17)

DispAuthNo: N1-59-94-43, item 34 **Date Edited:** 4/1/1999

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A-11-003-20 Countermeasures - Program File - Arrange by TAGS and Terms

Description: Memorandums, reports, and telegrams on technical and physical security covering

accreditation, anti-terrorism, buildings, construction, contractors, countermeasures, debugging, demolition, detection equipment, electronic security, emanations, inspections, receipts, systems development, and other related subjects.

Disposition: Cut off at the end of each calendar year. Destroy 2 years after cut off date.

DispAuthNo: N1-59-94-43, item 62 **Date Edited:** 4/1/1999

A-11-003-21a **Counterintelligence - Case Files**

Description: a. Historical Files

Cases that reflect distinctive Department activities, attract media or Congressional

interest, or are otherwise historically significant.

Disposition: Permanent. Designate as permanent at time the case takes on significance.

Retire to RSC 3 years after case closes for transfer to WNRC. Transfer to the

National Archives when 30 years old.

DispAuthNo: N1-59-94-43, item 4a **Date Edited:** 4/1/1999

A-11-003-21b **Counterintelligence - Case Files**

Description: b. All other cases.

Disposition: Review every 5 years. Destroy 20 years after determination date that the case no

longer has any security interest.

DispAuthNo: N1-59-94-43, item 4b **Date Edited:** 4/1/1999

A-11-003-22 Device Finds Files - Arrange alphabetically by name of post

Description: Memorandums, reports, correspondence, photographs, diagrams, and other

material relating to technical penetration of U.S. overseas facilities and the analysis of those devices. Also included are publications and reports of the U.S. Intelligence

Board, Security Committees, Technical Surveillance Countermeasures Subcommittee. Files date primarily from the 1940s to the 1980s.

Disposition: Permanent. Retire to RSC 10 years after case is closed for transfer to WNRC.

Transfer to the National Archives 30 years after case is closed.

DispAuthNo: N1-59-94-43, item 6 **Date Edited:** 4/1/1999

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A-11-003-23 Emanations Security - Program File - Arrange by TAGS and Terms

Description: Correspondence, memorandums, reports, studies, and telegrams on emanation

security covering coordination, equipment, inspections, product evaluation, shielding, tempest, testing, training, zones of control, and other related subjects.

Disposition: Cut off file at the end of every 5 calendar years. Destroy 5 years after cut off date.

DispAuthNo: N1-59-94-43, item 63 **Date Edited:** 4/1/1999

A-11-003-24 Emanations Security Post - Program File - Arrange by post

Description: Memorandums, reports, and telegrams on emanation security covering Automated

Emanations Security Operations Program (AESOP) reports, construction,

coordination, equipment, floor plans, inspections, shielding, tempest, testing, travel

schedules, zones of control, and other related subjects.

Disposition: Destroy 4 years after all requirements have been met.

DispAuthNo: N1-59-94-43, item 64 **Date Edited:** 4/1/1999

A-11-003-25 Engineering Security - Arrange by posts

Description: Engineering Security Services Report (DS-1539), forms, memorandums, monthly

status reports, technical reports, and other documentation on engineering security covering countermeasures, drawings, engineering, ESC (Engineering Security Center), equipment, floor plans, inspections, installations, penetration, security technology, support services, surveillance, surveys, technical services, visits, and

other related subjects.

Disposition: Cut off file at the end of 3 calendar years. Microfiche file when 3 years old.

Destroy paper file after filming. Retire microfiche to RSC when 12 years old for

transfer to WNRC. Destroy microfiche when 30 years old.

DispAuthNo: N1-59-94-43, item 9 **Date Edited:** 4/1/1999

A-11-003-26 Research and Development Project Precedent Case File

Description: This file contains only historical or technologically significant cases. Records

documenting the complete history of the project from initiation through completion and including a copy of contract, request for proposal (RFP) and supporting

documents, drawings specifications, evaluation reports, and related

correspondence.

Cut off file at end of every 5 calendar years.

Disposition: Permanent. Cut off file at the end of 5 calendar years. Retire to RSC 1 year after

cut off date. Transfer to WNRC 3 years after cut off date. Transfer to the

National Archives 30 years after cut off date.

DispAuthNo: N1-59-94-43, item 7 **Date Edited:** 4/1/1999

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A-11-003-27 Technical Threat Assessment - Program File - Arrange by TAGS and Terms

Description: Analysis material, collected threat information, memorandums, telegrams, and other

documentation on defensive technical analysis covering facilities, intelligence,

personnel, programs, and other related subjects.

Permanent. Cut off file at end of each calendar year. Retire to RSC 5 years after Disposition:

cut off date for transfer to WNRC. Transfer to the National Archives 30 years

after cut off date.

N1-59-94-43, item 5 7/23/2007 DispAuthNo: Date Edited:

A-11-003-28 U.S. Intelligence Board/Technology Security Coordination Group - (USIB/

TSCG) - Audio Countermeasures Subcommittee File - Arrange by TAGS and

Terms

Record copies of reports and related documentation. **Description:**

Disposition: Permanent. Cut off file at end of each calendar year. Retire to RSC when no

longer needed. Transfer to WNRC after 3 years. Transfer to The National

Archives when 30 years old.

DispAuthNo: N1-59-94-43, item 8 Date Edited: 4/1/1999

A-11-003-30 Control Officer's - Program File - Arrange by TAGS and Terms

Operational chronologies on controlled pouch trips to selected posts covering airway **Description:**

bills, deliveries to controlled access areas (CAA), tasks, telephones, warehouse set

ups, vehicles, and other related subjects.

Cut off file at end of each year. Destroy 5 years after cut off date. Disposition:

N1-59-94-43, item 14 4/1/1999 DispAuthNo: Date Edited:

A-11-003-

31a

Missing Pouch File - Documentation on diplomatic pouches covering "out of

control pouches" classified or unclassified

Description: a. Pouches that have never been recovered.

Destroy when 30 years old. Disposition:

DispAuthNo: N1-59-94-43, item 13a Date Edited: 7/23/2007

A-11-003-

Missing Pouch File - Documentation on diplomatic pouches covering "out of

control pouches" classified or unclassified 31b

Description: b. Pouches that have been recovered.

Retire to RSC when 3 years old for transfer to the WNRC. Destroy when 10 years Disposition:

old.

DispAuthNo: N1-59-94-43, item 13b Date Edited: 7/23/2007

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A-11-003-32 Diplomatic Courier Contract - Program File - Arrange by vendor

Description: Copies of contracts on companies performance of courier services.

Disposition: Destroy 1 year after termination of the contract.

DispAuthNo: N1-59-94-43, item 17 **Date Edited:** 4/1/1999

A-11-003-33 Diplomatic Courier Post - Program File - Arrange by TAGS and Terms

Description: Documentation on the Department's courier operations program covering

assignments, couriers, funding, routes, schedules, travel, weight messages, and

other related subjects.

Disposition: Cut off file at end of each calendar year. Destroy 2 years after cut off date.

DispAuthNo: N1-59-94-43, item 12 **Date Edited:** 4/1/1999

A-11-003-34 Diplomatic Courier - Program File - Arrange by TAGS and Terms

Description: Documentation on the Department's courier operations program covering couriers,

funding, guidelines, policies, procedures, closing of courier services, and other

related subjects.

Disposition: Cut off file at end of each calendar year. Destroy 10 years after cut off date.

DispAuthNo: N1-59-94-43, item 11 **Date Edited:** 4/1/1999

A-11-003-35 Diplomatic Courier Trip Report - Program File

Description: Completed Diplomatic Courier Trip Reports (FS-400) on couriers covering trip data.

Disposition: Destroy when 3 years old. (ref. 352-326, item 1)

DispAuthNo: N1-59-94-43, item 15 **Date Edited:** 4/1/1999

A-11-003-36 Diplomatic Courier Visa - Program File - Arrange by name

Description: Memorandums, Government Travel Requests (GTR), and other documentation on

When-Actually-Employed (WAE) contract couriers covering visa applications, visas,

vouchers, hotel receipts, and other related subjects.

Disposition: Cut off file at end of each year. Destroy 1 year after cut off date.

DispAuthNo: N1-59-94-43, item 16 **Date Edited:** 4/1/1999

A-11-003-37 Diplomatic Pouch Certification and Receipt - Program File

Description: Diplomatic Pouch Certification and Receipts (OF-253) covering posts, pouch

numbers, receipts, signatures, weight, and other related pouch shipping data.

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-94-43, item 20 **Date Edited:** 4/1/1999

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A-11-003-38 Official Courier Accompanied Pouch Charges (FS-452) - Program File

Description: Form FS-452 covering airlines, excess baggage charges, payments, shipping

receipts, and other related subjects.

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-94-43, item 19 **Date Edited:** 4/1/1999

A-11-003-39 Washington Regional Diplomatic Courier - Program File - Arrange by TAGS

and Terms

Description: Documentation on the Department's courier operations covering airports, couriers,

funding, guidelines, monthly fiscal reports, monthly narrative reports, passes, pouches, procedures, schedules, trip reports, weekly activity reports, and other

related subjects.

Disposition: Cut off file at end of each year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 18 **Date Edited:** 4/1/1999

A-11-003-40 Crisis Management Exercise Controller Books - Program File - Arrange by

oost

Description: Books and other documentation on exercises covering specific countries.

Disposition: Destroy after new report is issued.

DispAuthNo: N1-59-94-43, item 23 **Date Edited:** 4/1/1999

A-11-003-41 Crisis Management Exercises - Program File - Arrange by post

Description: Background material and reports on exercises covering country clearances,

equipment, evacuations, reports, shipments, training material, trips, and other

related subjects.

Disposition: Cut off file at end of every 3 calendar years. Retire to RSC 1 year after cut off

date for transfer to WNRC. Destroy 6 years after cut off date.

DispAuthNo: N1-59-94-43, item 22 **Date Edited:** 4/1/1999

A-11-003-42 Crisis Management Training - Program File - Arrange by TAGS and Terms

Description: Documentation on crisis management training exercises covering country

clearances, equipment, evacuations, shipments of material, and other related

subjects.

Disposition: Cut off file at end of each year. Retire to RSC 3 years after cut off date for

transfer to WNRC. Destroy 10 years after cut off date.

DispAuthNo: N1-59-94-43, item 21 **Date Edited:** 4/1/1999

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A-11-003-43 **Emergency Action Plan Policy and Procedures File - Arrange by TAGS and**

Terms

Description: Briefing materials, telegrams, and weekly activity reports on emergency evacuation

> and relocation planning covering background and development work, publication of regulations and handbooks, meetings, general policy papers, and other related

subjects.

Cut off file at end of each year. Destroy when no longer needed. Disposition:

DispAuthNo: N1-59-94-43, item 24 Date Edited: 4/1/1999

A-11-003-44 Emergency Action Plans (EAP) - Program File - Arrange by post

Description: Current EAPs, correspondence, reports, and other documentation on action plan

covering emergencies and evacuations.

Destroy when replaced by a new plan. (ref. NN-170-120, item 9) Disposition:

4/1/1999 DispAuthNo: N1-59-94-43, item 25 Date Edited:

A-11-003-45 **Emergencies - Program File - Arrange by post**

Description: Telegrams, trip reports, and reports on U.S. citizens abroad in actual emergencies

covering protection, evacuation, relocation and other related subjects.

Cut off file at end of every 3 calendar years. Destroy 7 years after cut off date. Disposition:

(ref. NN-170-120, item 10)

DispAuthNo: N1-59-94-43, item 26 Date Edited: 4/1/1999

A-11-003-

50a

Defensive Equipment - Program Files - Arrange by country

Description:

a. Armored Vehicles File

Telegrams and reports on defensive equipment covering cars, condition. maintenance, planning, requests for, vehicles, and other related subjects.

Disposition: Destroy 1 year after vehicle is no longer owned by Department.

4/1/1999 DispAuthNo: N1-59-94-43, item 47a Date Edited:

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A-11-003-50b(1) **Defensive Equipment - Program Files - Arrange by case**

Description:

b. Special Protective Equipment File

Notice of Shipment (DS-1549), Equipment Disposition Record (DS-1753), and memorandums on special protective equipment for individuals and posts covering agents, disposition, equipment, inventories, posts, shipments, and other related

subjects.

(1) Agent's Assigned Equipment File.

Disposition: Destroy 5 years after employee departs DS.

DispAuthNo: N1-59-94-43, item 47b(1) **Date Edited:** 4/1/1999

A-11-003-50b(2) **Defensive Equipment - Program Files - Arrange by post**

Description: b. Special Protective Equipment File

Notice of Shipment (DS-1549), Equipment Disposition Record (DS-1753), and memorandums on special protective equipment for individuals and posts covering agents, disposition, equipment, inventories, posts, shipments, and other related

subjects.

(2) Post Assigned Equipment File.

Disposition: Destroy when no longer needed.

DispAuthNo: N1-59-94-43, item 47b(2) **Date Edited:** 4/1/1999

A-11-003-51a Physical Security Laboratory - Program Files - Documentation on physical security evaluations and testing covering equipment, locks, training, interagency liaison, and other related subjects - Arrange by TAGS and Terms

Description: a. Interagency Advisory Committee on Security Equipment (IACSE)

Specifications, standards, technical information, copies of committee minutes (GSA

chairs committee).

Disposition: Destroy when no longer needed.

DispAuthNo: N1-59-94-43, item 48a **Date Edited:** 4/1/1999

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A-11-003-Physical Security Laboratory - Program Files - Documentation on physical 51b(1)

security evaluations and testing covering equipment, locks, training,

interagency liaison, and other related subjects

b. Technical Data File **Description:**

(1) Technical reference materials on classified storage equipment.

Disposition: Destroy when no longer needed.

DispAuthNo: N1-59-94-43, item 48b(1) Date Edited: 4/1/1999

A-11-003-Physical Security Laboratory - Program Files - Documentation on physical security evaluations and testing covering equipment, locks, training, 51b(2)

interagency liaison, and other related subjects - Arrange by post

b. Technical Data File **Description:**

(2) Telegrams.

Disposition: Cut off file at end of each calendar year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 48b(2) **Date Edited:** 4/1/1999

A-11-003-Physical Security Laboratory - Program Files - Documentation on physical

51c security evaluations and testing covering equipment, locks, training,

interagency liaison, and other related subjects - Arrange by subject

Description: c. Training Material File.

Disposition: Destroy when updated or obsolete.

N1-59-94-43, item 48c DispAuthNo: Date Edited: 4/1/1999

A-11-003-52 Plain Text Processing Equipment - Program File - Arrange by TAGS and Terms

Memorandums, telegrams, and other documentation on plain text processing **Description:**

equipment covering certifications, classified information processing equipment

(CIPE), controlled access areas, emergencies, engineering, equipment,

evaluations, maintenance, opinions, policies, shipments, studies, and other related

subjects.

Disposition: Cut off file at end of each calendar year. Destroy 1 year after cut off date.

DispAuthNo: N1-59-94-43, item 66 Date Edited: 4/1/1999

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A-11-003-53a Security Equipment and Maintenance (SEMP) - Program Files - Arrange by

subject

Description: Documentation on security equipment covering maintenance, procurement, product

integrity, protective equipment, shipments, special equipment, storage, supplies,

vehicles, and other related subjects.

a. Bill of Materials File. Pick list, materials, and shipping information.

Disposition: Cut off file at the end of each fiscal year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 49a **Date Edited:** 4/1/1999

A-11-003-53b(1) Security Equipment and Maintenance (SEMP) - Program Files - Arrange by TAGS and Terms Blanket Purchase Agreements Files

Description: Documentation on security equipment covering maintenance, procurement, product

integrity, protective equipment, shipments, special equipment, storage, supplies,

vehicles, and other related subjects.

b. Blanket Purchase Agreements Files

(1) Data. Copies of invoices, memorandums, order registers on BPA's covering

accounting data, fiscal data, and funding information.

Disposition: Cut off file at the end of each fiscal year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 49b(1) **Date Edited:** 4/1/1999

A-11-003-53b(2)

Description:

Security Equipment and Maintenance (SEMP) - Program Files - Arrange by TAGS and Terms

DD(2) TAGS and Term

Documentation on security equipment covering maintenance, procurement, product

integrity, protective equipment, shipments, special equipment, storage, supplies,

vehicles, and other related subjects.

b. Blanket Purchase Agreements Files

(2) Transactions. Transmittal sheets on BPA's covering accounts receivable,

copies of open and closed purchase orders, parts, requisitions, salvage reports, and

vendor information.

Disposition: Cut off file at the end of each fiscal year. Destroy 3 years after completion of

contract.

DispAuthNo: N1-59-94-43, item 49b(2) **Date Edited:** 4/1/1999

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A-11-003-53c Security Equipment and Maintenance (SEMP) - Program Files

Description:

Documentation on security equipment covering maintenance, procurement, product integrity, protective equipment, shipments, special equipment, storage, supplies, vehicles, and other related subjects.

c. Copies of Award/Contract (SF-26).

Disposition: Destroy 3 years after completion of contract.

DispAuthNo: N1-59-94-43, item 49c **Date Edited:** 4/1/1999

A-11-003-53d Security Equipment and Maintenance (SEMP) - Program Files - Arrange by TAGS and Terms

Description: Documentation on security equipment covering maintenance, procurement, product

integrity, protective equipment, shipments, special equipment, storage, supplies,

vehicles, and other related subjects.

d. Memorandums, telegrams, and other documentation on inventories covering

security equipment.

Disposition: Destroy when updated.

DispAuthNo: N1-59-94-43, item 49d **Date Edited:** 4/1/1999

A-11-003-53e(1) Security Equipment and Maintenance (SEMP) - Program Files - Arrange by TAGS and Terms

Description:

Documentation on security equipment covering maintenance, procurement, product integrity, protective equipment, shipments, special equipment, storage, supplies, vehicles, and other related subjects.

e. Logistics Program File. Memorandums, messages, telegrams on logistics covering building management, copies of contracts, receiving, supplies, technical equipment, warehouses, and other related subject.

(1) Copies of contracts.

Disposition: Destroy 1 year after termination of contract.

DispAuthNo: N1-59-94-43, item 49e(1) **Date Edited:** 4/1/1999

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A-11-003-53e(2) Security Equipment and Maintenance (SEMP) - Program Files - Arrange by TAGS and Terms

Description:

Documentation on security equipment covering maintenance, procurement, product integrity, protective equipment, shipments, special equipment, storage, supplies, vehicles, and other related subjects.

e. Logistics Program File. Memorandums, messages, telegrams on logistics covering building management, copies of contracts, receiving, supplies, technical equipment, warehouses, and other related subject.

(2) All other material.

Disposition: Cut off file at the end of each fiscal year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 49e(2) **Date Edited:** 4/1/1999

A-11-003-53f Security Equipment and Maintenance (SEMP) - Program Files

Description: Documentation on security equipment covering maintenance, procurement, product

integrity, protective equipment, shipments, special equipment, storage, supplies,

vehicles, and other related subjects.

f. Post File. Status reports and telegrams on services provided to posts by contractors covering equipment, post trip itineraries, shipping, and other related

subjects.

Disposition: Destroy 1 year after termination of contract.

DispAuthNo: N1-59-94-43, item 49f **Date Edited:** 4/1/1999

A-11-003- Security Equipment and Maintenance (SEMP) - Program Files - Arrange by TAGS and Terms

Description: Documentation on security equipment covering maintenance, procurement, product

integrity, protective equipment, shipments, special equipment, storage, supplies,

vehicles, and other related subjects.

g. Receiving file. Order-Supplies or Services (DS-1089), and Receiving and

Inspection Report (OF-127) covering inventory check lists, local operating funds,

packing lists, truck tickets, and other related subjects.

Disposition: Cut off file at end of fiscal year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 49g **Date Edited:** 4/1/1999

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A-11-003-53h Security Equipment and Maintenance (SEMP) - Program Files - Arrange by TAGS and Terms

Description:

Documentation on security equipment covering maintenance, procurement, product integrity, protective equipment, shipments, special equipment, storage, supplies, vehicles, and other related subjects.

h. Purchase Orders and Requisitions File. Order-Supplies or Services (DS-1089), and support request forms purchases.

Disposition: Cut off file at end of fiscal year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 49h **Date Edited:** 4/1/1999

A-11-003-53i(1) Security Equipment and Maintenance (SEMP) - Program Files - Arrange by TAGS and Terms

Description: Documentation on security equipment covering maintenance, procurement, product

integrity, protective equipment, shipments, special equipment, storage, supplies,

vehicles, and other related subjects.

i. Shipping File.

(1) Government Bills of Lading, memorandums, telegrams, and Diplomatic Pouch

Mail Registration (OF-120) on shipping. Covering funding and funding sites.

Disposition: Cut off file at end of fiscal year. Destroy 5 years after cut off date.

DispAuthNo: N1-59-94-43, item 49i(1) **Date Edited:** 4/1/1999

A-11-003-53i(2)

Description:

Security Equipment and Maintenance (SEMP) - Program Files - Arrange by

I(2) po

Documentation on security equipment covering maintenance, procurement, product integrity, protective equipment, shipments, special equipment, storage, supplies,

vehicles, and other related subjects.

i. Shipping File.

(2) Telegrams on equipment shipments

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-94-43, item 49i(2) **Date Edited:** 4/1/1999

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A-11-003-53j Security Equipment and Maintenance (SEMP) - Program Files - Arrange by

post

Description: Documentation on security equipment covering maintenance, procurement, product

integrity, protective equipment, shipments, special equipment, storage, supplies,

vehicles, and other related subjects.

j. Technical Surveillance Countermeasures Equipment File. Memorandums, and telegrams on technical surveillance countermeasures covering equipment, inquires, Diplomatic Pouch Mail Registration (OF-120), requests, shipping messages, and

other related subjects.

Disposition: Cut off file at the end of every 3 fiscal years. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 49j **Date Edited:** 4/1/1999

A-11-003-53k Security Equipment and Maintenance (SEMP) - Program Files - Arrange by

post

Description: Documentation on security equipment covering maintenance, procurement, product

integrity, protective equipment, shipments, special equipment, storage, supplies,

vehicles, and other related subjects.

k. Technical Trips Reports File. Correspondence, diagrams, memorandums, telegrams, and trip reports (in process and final) covering posts, technical

equipment information, visa requirements, and other related subjects.

Disposition: Destroy when 1 year old.

DispAuthNo: N1-59-94-43, item 49k **Date Edited:** 4/1/1999

A-11-003-54 Security Product Certification File - Arrange by vendor and product

Description: Records on specifications for test and evaluation of vendor products, and design

drawings.

Disposition: Destroy when no longer needed.

DispAuthNo: N1-59-94-43, item 56 **Date Edited:** 4/1/1999

A-11-003-55 Transit Security Contracts - Arrange by case

Description: Copies of contracts, correspondence, memorandums, and related documentation

on transit security covering shipments, services, and other related subjects.

Disposition: Destroy 7 years after completion of contract.

DispAuthNo: N1-59-94-43, item 61 **Date Edited:** 4/1/1999

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A-11-003-56 Transit Security Plans - Program File - Arrange by shipment

Description: Correspondence, memorandums, surveys, and telegrams on transit security

covering customs, plans, preclearances, procurement, projects, shipments,

specifications, storage, and other related subjects.

Disposition: Destroy 1 year after all invoices and vouchers have been paid or investigation has

been completed.

DispAuthNo: N1-59-94-43, item 60 **Date Edited:** 4/1/1999

A-11-003-61 File Cabinet or Vault Security Forms

Description: Forms: Security Container Information (SF-700) and Security Container Checksheet

(SF-702) used to record access to unclassified sensitive, administratively controlled, or classified information, and valuable equipment and property in file cabinets, file safes, or other security containers, and vaults, controlled access areas, and

facilities.

Disposition: Destroy 1 year after last date entered on sheet.

DispAuthNo: NC-59-75-2, item 3 **Date Edited:** 4/1/1999

A-11-003- Information Security Files - Arrange by TAGS and Terms 62a

Description: Policies and procedures documentation on information security standards,

operations, and compliance covering access, accountability, administratively controlled information, classified information, communications security, compromises, controls, declassification, destruction, disposition, dissemination, enforcement, evaluations, guides, identification, inspections, markings, open storage, protection, reproduction, security violations, storage, surveys, Tempest, training, transmission, transportation, unauthorized disclosures, unit security

officers, upgrading, and other related subjects.

a. Program File.

Disposition: Cut off file at end of each calendar year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 37a **Date Edited:** 4/1/1999

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A-11-003-62b Information Security Files - Arrange by post

Description:

Policies and procedures documentation on information security standards, operations, and compliance covering access, accountability, administratively controlled information, classified information, communications security, compromises, controls, declassification, destruction, disposition, dissemination, enforcement, evaluations, guides, identification, inspections, markings, open storage, protection, reproduction, security violations, storage, surveys, Tempest, training, transmission, transportation, unauthorized disclosures, unit security officers, upgrading, and other related subjects.

b. Post File.

Disposition: Cut off file at end of each calendar year. Retire to RSC 3 years after cut off date

for transfer to WNRC. Destroy 5 years cut off date.

DispAuthNo: N1-59-94-43, item 37b **Date Edited:** 4/1/1999

A-11-003-63a Information Systems Security - Program File - Arrange by post or project whenever appropriate - Arrange sub-files by TAGS and Terms when applicable

Description: Correspondence and other documentation on information systems security covering analyses, communications, computers, procedures, projects, research, studies,

training material, and other related subjects.

a. Computer Security Evaluation Reports (COMPUSEC) and Communication

Security Evaluation Reports (COMSEC).

Disposition: Cut off file at end of each calendar year. Destroy when new report is issued.

DispAuthNo: N1-59-94-43, item 27a **Date Edited:** 4/1/1999

A-11-003-63b Information Systems Security - Program File - Arrange by post or project whenever appropriate - Arrange sub-files by TAGS and Terms when applicable

Description: Correspondence and other documentation on information systems security covering analyses, communications, computers, procedures, projects, research, studies,

training material, and other related subjects.

training material, and other related subjects

b. All other items.

Disposition: Cut off file at end of each calendar year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 27b **Date Edited:** 4/1/1999

A-11-003-70 Building Drawings File - Arrange by post

Description: Drawings at different phases of design (conceptual, 30%, 60%, 90%, and final) on

existing or new office buildings covering electrical, mechanical, and physical plans.

Disposition: Destroy 5 years after completion of project.

DispAuthNo: N1-59-94-43, item 52 **Date Edited:** 4/1/1999

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A-11-003-71 Turnkey Program - Survey Reports and Specification

Description: Proposals, reports, and other documentation, exclusive of architectural drawings,

related to TURNKEY projects.

Disposition: Permanent. Transfer to WNRC when one year old. Transfer to the National

Archives when 30 years old.

DispAuthNo: N1-59-90-25, item 1 **Date Edited:** 4/1/1999

A-11-003-71a Turnkey Program - Architechtural Drawings

Description: Drawings related to security upgrades for overseas posts identifying electrical,

plumbing, structural, and other improvements of U.S. occupied buildings overseas. Upon completion of a project, all drawings and pertinent documentation covered by

item 1 will be microfilmed (aperture cards and microfiche).

Disposition: See sub sections 71a(1) through 71a(3) for specific dispositions.

DispAuthNo: N1-59-90-25, item 2 **Date Edited:** 7/24/2007

A-11-003-71a(1) **Turnkey Program - Architectural Drawings**

Description:

a. Original architectural drawings.

Disposition: Destroy upon verification of microfilm.

DispAuthNo: N1-59-90-25, item 2a **Date Edited:** 4/1/1999

A-11-003-71a(2)

Turnkey Program - Architectural Drawing

Description: b. Master set of microfilm.

Disposition: Retain in DS. Retire to RSC 10 years after completion of Turnkey Program.

Destroy when 20 years old.

DispAuthNo: N1-59-90-25, item 2b **Date Edited:** 4/1/1999

A-11-003-71a(3)

Turnkey Program - Architectural Drawing

Description: c. Duplicate set of microfilm.

Disposition: Destroy when 10 years old.

DispAuthNo: N1-59-90-25, item 2c **Date Edited:** 4/1/1999

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A-11-003-72 Construction Evaluation and Review File - Arrange by post or geographic area

Description: Small construction drawings, telegrams, requests for review, comments, post

specific information, and other material.

Disposition: Cut off file after update. Destroy when no longer needed.

DispAuthNo: N1-59-94-43, item 55 **Date Edited:** 4/1/1999

A-11-003-73 Construction Security Certification - Program File - Arrange by post

Description: Congressionals, correspondence, memorandums, notes, and telegrams on

construction security certifications (document, physical, technical) covering background information, buildings, certifications, comments, construction, drawings, inspections, phases, plans, projects, renovations, residences, security, standards,

and other related subjects.

Disposition: Retire to RSC 3 years after certification for transfer to WNRC. Destroy 10 years

after certification.

DispAuthNo: N1-59-94-43, item 50 **Date Edited:** 4/1/1999

A-11-003- Penetration Post - Program Files 74a

Description: Correspondence, reports, and other documentation on post penetration activities

covering photographs, original building plans, copies of U.S. Intelligence Board/Technical Security Coordination Group (USIB/TSCG) reports, Technical

Security Survey Reports, and other related subjects.

a. Active Cases.

Disposition: Review annually. Transfer to inactive file 3 years after determination case no

longer has security interest.

DispAuthNo: N1-59-94-43, item 29a **Date Edited:** 4/1/1999

A-11-003- Penetration Post - Program Files 74b

Description: Correspondence, reports, and other documentation on post penetration activities

covering photographs, original building plans, copies of U.S. Intelligence Board/Technical Security Coordination Group (USIB/TSCG) reports, Technical

Security Survey Reports, and other related subjects.

b. Inactive Cases.

Disposition: Permanent. Retire to RSC 10 years after cut off date for transfer to WNRC.

Transfer to the National Archives 30 years after cut off date.

DispAuthNo: N1-59-94-43, item 29b **Date Edited:** 4/1/1999

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A-11-003-75 Post Security Drawings - Arrange by post

Description: Conceptual drawings on building security covering different building stages.

Disposition: Destroy when updated or obsolete.

DispAuthNo: N1-59-94-43, item 28 **Date Edited:** 4/1/1999

A-11-003-76 Local Guard - Program File - Arrange by post

Description: Invoices (copies), memorandums, and telegrams on local guards covering body

guards, contracts, finances, funding ceilings, Mobil security patrols, official buildings security, personnel services contracts, profile surveys, radios, uniforms, vehicles,

and other related subjects.

Disposition: Cut off file at end of each calendar year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 42 **Date Edited:** 4/1/1999

A-11-003-77 Post Residential Security Program File - Arrange by TAGS and Terms

Description: Maps, memorandums, photographs, surveys, and telegrams on residential security

covering alarms, crime information, direct hire employees, funding, grills, guidance, lighting, locks, monthly status reports, personal residences, physical security, travel,

trip reports, and related subjects.

Disposition: Cut off file at end of every 3 calendar years. Destroy 1 year after cut off date.

DispAuthNo: N1-59-94-43, item 46 **Date Edited:** 4/1/1999

A-11-003-78 U.S. Marine Corps Security Guards - Program History File - Arrange by

subject

Description: a. Agreements, correspondence with the Commandant U.S. Marine Corps,

memorandums, memorandums of agreement, memorandums of understanding, and telegrams on the assignment of U.S. Marines at Foreign Service posts around the world covering detachments, graduation programs, guard program, guards, quidelines, personnel, policies, requests for assistance, schools, security, and other

related subjects.

Disposition: Permanent. Cut off file at end of every 5 calendar years. Retire to RSC 5 years

after cut off date for transfer to WNRC. Transfer to National Archives 15 years

after cut off date. (ref. NN-172-188, item 30)

DispAuthNo: N1-59-94-43, item 43 **Date Edited:** 4/1/1999

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A-11-003- U.S. Marine Corps Security Guards - Administrative File - Arrange by TAGS

78a and Terms

Description: b. Administrative and operational correspondence on the assignment of U.S.

Marines at Foreign Service posts covering: advice of allotment, allowances, assignments, clothing, copies of orders, drop reports, funding, inspection reports, payments, reassignments, temporary duty assignments (TDY), vehicles, and other

related subjects.

Disposition: Cut off file at end of each calendar year. Retire to RSC 5 years after cut off date

for transfer to WNRC. Destroy 10 years after cut off date.

DispAuthNo: N1-59-94-43, item 44 **Date Edited:** 4/1/1999

A-11-003- U.S. Marine Corps Security Guards - Case File 78b

Description: c. Record of Marine Security Guard (DST-939) on U.S. Marines assignments at

posts covering security clearances, assignment information, and other related

subjects.

Disposition: Destroy 30 years after the end of first assignment. (ref. NN-172-188, item 33 &

NC-59-75-2, item 2)

DispAuthNo: N1-59-94-43, item 45 **Date Edited:** 4/1/1999

A-11-003-80 OIG Inspection/Audit File - Arrange by geographic area

Description: Copies of OIG (Office of Inspector General) inspection, security oversight, and audit

reports; documents relating to tasking actions involving OIG report

recommendations; and reports of coordinating actions and responses to OIG

recommendations.

Disposition: Cut off file at the end of each calendar year. Destroy when 2 years old after action

is closed by the OIG or when no longer needed whichever is sooner.

DispAuthNo: N1-59-94-43, item 59 **Date Edited:** 4/1/1999

A-11-003-81 Program Standards and Policy Files - Arrange by subject

Description: Records accumulated by offices responsible for security program management,

including the development of security standards and policies for which they have primary responsibility, leading to the publication of foreign affairs manuals and

handbooks.

Disposition: See sub sections 81a through 81d for specific dispositions.

DispAuthNo: N1-59-94-43, item 53 **Date Edited:** 7/24/2007

Chapter 11: Diplomatic Security Records

A-11-003-

Program Standards and Policy Files - Arrange by subject

81a

Description: a. Master Set of Standards.

Disposition: Permanent. Retire to RSC 1 year after updated for transfer to WNRC. Transfer

to NARA when 30 years old.

4/1/1999 DispAuthNo: N1-59-94-43, item 53a Date Edited:

A-11-003-

Program Standards and Policy Files - Arrange by subject

81b

b. Master Set of Policies. **Description:**

Disposition: Permanent. Retire to RSC 1 year after updated for transfer to WNRC. Transfer

to NARA when 30 years old.

DispAuthNo: N1-59-94-43, item 53b Date Edited: 4/1/1999

A-11-003-

Program Standards and Policy Files

81c

Description: c. Drafts of Standards.

Disposition: Destroy 3 months after standards are published.

Date Edited: 4/1/1999 DispAuthNo: N1-59-94-43, item 53c

A-11-003-81d

Program Standards and Policy Files

Description: d. Drafts of Policies.

Disposition: Destroy 3 months after standards are published.

N1-59-94-43, item 53d Date Edited: 4/1/1999 DispAuthNo:

A-11-003-82 Requests for Waiver of Physical Security Standards - Arrange by post or

geographically

Description:

Disposition: Destroy when no longer needed.

N1-59-94-43, item 54 4/1/1999 DispAuthNo: Date Edited:

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A-11-003-83 Systems Development and Evaluation Laboratory - Program File

Description: Background material, reports, and other documentation on security requirements

covering developments, evaluations, existing systems, interagency research, market research, new equipment, new systems, requirement developments,

systems, and other related subjects.

Disposition: See sub sections 83a through 83d for specific dispositions

DispAuthNo: N1-59-94-43, item 65 **Date Edited:** 7/24/2007

A-11-003- Systems Development and Evaluation Laboratory - Program File 83a

Description: a. Current Equipment - Research File. Research on existing equipment and

systems in use.

Disposition: Maintain until updated or the equipment is no longer used by the Department.

DispAuthNo: N1-59-94-43, item 65a **Date Edited:** 4/1/1999

A-11-003- Systems Development and Evaluation Laboratory - Program File 83b

Description: b. Proposed Equipment - Research File. Research on proposed equipment for

future use.

Disposition: Destroy 5 years after the equipment is determined to be of no further use to the

Department.

DispAuthNo: N1-59-94-43, item 65b **Date Edited:** 4/1/1999

A-11-003- Systems Development and Evaluation Laboratory - Program File 83c

Description: c. Obsolete Equipment - Research File. Completed research on equipment no

longer in use.

(1) Research material of continued interest to the Department.

Disposition: Maintain until the Department has no further interest.

DispAuthNo: N1-59-94-43, item 65c(1) **Date Edited:** 4/1/1999

A-11-003- Systems Development and Evaluation Laboratory - Program File 83d

Description: c. Obsolete Equipment - Research File. Completed research on equipment no

longer in use.

(2) Research material no longer of interest to the Department.

Disposition: Destroy 5 years after determined to be of no further interest to the Department.

DispAuthNo: N1-59-94-43, item 65c(2) **Date Edited:** 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-003-90 Course Materials - Active Courses File - arrange by course subject

Description: a. Plans of instruction, lesson plans, training support materials (audio-visuals),

participant course book, and other handout materials, and correspondence and

memorandums relating to completed development and/or revision.

Disposition: Destroy 1 year after course has been revised or terminated.

DispAuthNo: N1-59-94-43, item 2a **Date Edited:** 4/1/1999

A-11-003- Course Materials - Course Development Working File - arrange by course

90a subject

Description: b. Working drafts of Anti-terrorism assistance (ATA) course descriptions, plans of

instruction, lesson plans, and training materials, and correspondence and

memorandums to ongoing development and revision.

Disposition: Transfer correspondence and memorandums to Active Courses File upon

completion of development. Destroy working drafts 1 year after development or

revision is completed and course is approved.

DispAuthNo: N1-59-94-43, item 2b **Date Edited:** 4/1/1999

A-11-003-91 External Training Support File - Arrange by training facility and course

Description: Records on training requests from outside sources, schedule of classes, class

rosters, lesson plans, handouts, and training aids.

Disposition: Cut off file at the end of each calendar year. Destroy when 2 years old or when no

longer needed whichever is sooner.

DispAuthNo: N1-59-94-43, item 57 **Date Edited:** 4/1/1999

A-11-003-92 Internal Training Support File - Arrange by training course

Description: Records on training requests requiring procurement action, training course

requirements, schedule of classes, and attendance rosters.

Disposition: Cut off file at the end of each calendar year. Destroy when 2 years old or when no

longer needed whichever is sooner.

DispAuthNo: N1-59-94-43, item 58 **Date Edited:** 4/1/1999

A-11-003-93 Training Activities File - Arrange by activity number within a fiscal year

Description: Documents related to the implementation of specific training assistance, including:

relevant cables, participants rosters and itinerary, training provider task orders, training evaluation reports, program officer evaluation reports, escort reports, and

incident reports.

Disposition: Destroy when 5 years old.

DispAuthNo: N1-59-94-43, item 3 **Date Edited:** 4/1/1999

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Diplomatic Security and Services

A-11-004-10 Field Office - Program File - Arrange by TAGS and Terms

Description: Correspondence, reports, and other documentation on Field Office operations

covering background security investigations, criminal investigations, foreign dignitaries, instructions, investigations, law enforcement agencies, liaison with foreign missions, offenses, passport fraud, procedures, prospective employees, protection, resident foreign officials, security, visa fraud, and other related subjects.

Disposition: Cut off file at end of each calendar year. Destroy 2 years after cut off date.

DispAuthNo: N1-59-94-43, item 69 **Date Edited:** 4/1/1999

A-11-004-11 Other Agency Investigations - Case File

Description: Documentation on Department investigations, requested by other agencies,

covering security, and other related subjects.

Disposition: Destroy 1 year after case is closed.

DispAuthNo: NC1-59-77-5, item 1 **Date Edited:** 7/24/2007

A-11-004-12 Passport Investigation Case Files

Description: a. Headquarters Files.

(1) Routine Passport Cases. Correspondence, reports and other documentation on passport applicant investigations in order to determine U.S. citizenship covering

criminal investigations relating to document fraud.

Disposition: Destroy 10 years after case is closed. (ref. N1-59-94-43, item 71a)

DispAuthNo: N1-59-97-4, item 1a(1) **Date Edited:** 4/1/1999

A-11-004- Passp

Passport Investigation Case Files

Description: a. Headquarters Files.

(2) Arrest Cases and Other Significant Cases. Reports on investigations involving

an arrest by Diplomatic Security Agents, detention, or cases involving possible

litigation.

Disposition: Retire to RSC when 10 years old for transfer to WNRC. Destroy when 20 years

old. (ref. N1-59-94-43, item 71a)

DispAuthNo: N1-59-97-4, item 1a(2) **Date Edited:** 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-004-

Passport Investigation Case Files

12b

Description: b. Field Office Files. Correspondence, reports, and other documentation on

passport applicant investigations in order to determine U.S. citizenship covering

criminal investigations related to document fraud.

Disposition: Destroy 2 years after case is closed. (ref. N1-59-94-43, item 71b)

DispAuthNo: N1-59-97-4, item 1b

Date Edited: 4/1/1999

A-11-004-13 Spec

Special Investigations - Case File

Description: Documentation on special investigations covering criminal, misconduct, security,

and other related subjects.

Disposition: Transfer to Personnel Security Case File after case is closed.

DispAuthNo: N1-59-94-43, item 74

Date Edited: 4/1/1999

A-11-004-14

Suitability Investigation Case File - Arrange file by case

Description: Reports and other documentation on investigations covering DOS applicants and

employees.

Disposition: Destroy 2 years after case is closed.

DispAuthNo: N1-59-94-43, item 70

Date Edited: 4/1/1999

A-11-004-15

Visa Investigation Case Files

Description:

a. Headquarters Files.

(1) Routine Visa Cases. Correspondence, reports, and other documentation on visa applicant investigations in order to determine visa eligibility, covering criminal

investigations related to document fraud.

Disposition: Destroy 10 years after case is closed. (ref. N1-59-94-43, item 72a)

DispAuthNo: N1-59-97-4, item 2a(1)

Date Edited: 4/1/1999

A-11-004-15a **Visa Investigation Case Files**

Description:

a. Headquarters Files.

(2) Arrest Cases and Other Significant Cases. Reports on investigations involving

an arrest by Diplomatic Security Agents, detention, or cases involving possible

litigation.

Disposition: Retire to RSC when 10 years old for transfer to WNRC. Destroy when 20 years

old. (ref. N1-59-94-43, item 72a)

DispAuthNo: N1-59-97-4, item 2a(2) **Date Edited:** 4/1/1999

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A-11-004-

15b

Visa Investigation Case Files

Description: b. Field Office Files. Correspondence, reports, and other documentation on visa

applicant investigations in order to determine visa eligibility covering criminal

investigations related to document fraud.

Disposition: Destroy 2 years after case is closed. (ref. N1-59-94-43, item 72b)

DispAuthNo: N1-59-97-4, item 2b

Date Edited: 4/1/1999

A-11-004-16 Visit File - Arrange by case

Description: Reports and site surveys on visiting domestic and foreign dignitaries covering their

arrival, protection, and other related subjects.

Disposition: Destroy 2 years after case is closed.

DispAuthNo: N1-59-94-43, item 73

Date Edited: 4/1/1999

A-11-004-20 Security Legal Services - Program File - Arrange by subject

Description: Correspondence, memorandums, telegrams and other documentation on legal

issues covering security programs and projects.

Disposition: Permanent. Cut off file when program or project is terminated. Retire to RSC 5

year after cut off date for transfer to WNRC. Transfer to the National Archives 30

years after cut off date.

DispAuthNo: N1-59-94-43, item 67

Date Edited: 4/1/1999

A-11-004-21 Litigation Cases - Reference File

Description: Copies of pending litigation documents and other legal documentation on security

matters.

Disposition: Cut off file when case is closed. Destroy 3 months after case is closed or when

no longer needed.

DispAuthNo: Non-record Date Edited: 4/1/1999

A-11-004-30 Contractor Security - Case File - Arrange by case

Description: Documentation on contractor investigations covering clearances, security, and other

related subjects.

Disposition: Cut off at the end of year in which security clearance expired. Destroy 5 years

after the expiration of security clearance.

DispAuthNo: N1-59-94-43, item 80 **Date Edited:** 4/1/1999

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A-11-004-31 Department of State Personnel Security Case File -

Description: a. Program Policy and General Subject Files - Records relating to program

management, policy making, and overall supervision of the personnel security function in the Department of State as well as specific security issues and matters

Disposition: Permanent. Cut off when no longer needed for current business. Retire to RSC

when 3 years old for transfer to WNRC. Transfer to the National Archives when

30 years old.

DispAuthNo: N1-59-94-43, item 81a **Date Edited:** 4/1/1999

A-11-004- Department of State Personnel Security Case File 31a

Description: b. Applicant Files.

(1) Successful. File material under Employee Files.

Disposition: Destroy when 5 years old.

DispAuthNo: N1-59-94-43, item 81b(1) **Date Edited:** 4/1/1999

A-11-004- Department of State Personnel Security Case File 31b

Description: b. Applicant Files.

(2) Unsuccessful.

Disposition: Destroy when 5 years old.

DispAuthNo: N1-59-94-43, item 81b(2) **Date Edited:** 4/1/1999

A-11-004- Department of State Personnel Security Case File 31c

Description: c. Employee Files.

(1) Top Echelon Files.

Disposition: Permanent. Retire to RSC 5 years after separation or closure of file for transfer to

WNRC. Transfer to the National Archives when 30 years old.

DispAuthNo: N1-59-94-43, item 81c(1) **Date Edited:** 4/1/1999

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A-11-004-

Department of State Personnel Security Case File

31d

Description: c. Employee Files.

(2) Historical Files.

Cases that reflect distinctive Department activities, attract media or Congressional

4/1/1999

interest, or are otherwise historically significant.

Disposition: Designate as permanent at time the case takes on significance. Retire to RSC 5

years after separation or closure of file for transfer to WNRC. Transfer to the

National Archives when 30 years old.

DispAuthNo: N1-59-94-43, item 81c(2) **Date Edited:**

A-11-004-31e **Department of State Personnel Security Case File**

Description: c. Employee Files.

(3) All other case files.

Disposition: Retire to RSC 5 years after separation for transfer to WNRC. Destroy 20 years

after separation.

DispAuthNo: N1-59-94-43, item 81c(3) **Date Edited:** 4/1/1999

A-11-004-31f Department of State Personnel Security Case File

Description: d. Microfilm. Microfiche or microfilm of case files.

Disposition: Retire to RSC 5 years after date of most recent separation for transfer to WNRC.

Destroy 20 years after the date of the most recent separation.

DispAuthNo: N1-59-94-43, item 81d **Date Edited:** 4/1/1999

A-11-004-40 Monthly Activity Reports File - Arrange by post

Description: Monthly Activity Reports on security received each month from posts covering

current events, incidents, investigative statistics, projects, threats, and other related

subjects.

Disposition: Cut off file at end of each calendar year. Destroy 1 year after cut off date. (ref.

NN-172-188, item 24)

DispAuthNo: N1-59-94-43, item 83 **Date Edited:** 4/1/1999

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A-11-004-41 Post Security - Program File

Description: Correspondence, memorandums, reports, and telegrams on posts security activities

covering ambassador briefings, anti-terrorist assistance, buildings and grounds, crimes, crisis management, emergency action committees, local guards, management inspections, post profile reports, protection, RSO travel, security committees and groups, surveys, technical security, terrorism, threats, U.S.

Marines, and other related subjects.

Disposition: Destroy when no longer needed.

DispAuthNo: N1-59-94-43, item 85 **Date Edited:** 4/1/1999

A-11-004-42 Security Survey Reports File

Description: Physical Security Surveys, supplementals, exhibits, and supporting documentation

on physical security covering U.S. Government owned facilities abroad.

Disposition: Destroy when superseded by new report.

DispAuthNo: NC1-59-80-3 **Date Edited:** 4/1/1999

A-11-004-50 City Closing Report - Program File - Arrange file by city

Description: Advance reports, place survey reports, maps, and correspondence on physical and

security problems covering airports, hotels, public places, and other related subjects.

Disposition: Destroy when report has been updated.

DispAuthNo: N1-59-94-43, item 91 **Date Edited:** 4/1/1999

A-11-004-51 Foreign Dignitaries and U.S. Officials Protective Detail - Case File

Description: Correspondence, memorandums, telegrams, and other documentation on

protective security details provided to visiting dignitaries and U.S. Government officials (other than the Secretary of State) covering agent time sheets, biographic information, bulletins, command post logs, expenditures, maps, schedules,

summary sheets, and other related subjects.

Disposition: Destroy when 2 years old.

DispAuthNo: NC1-59-78-11, item 3 **Date Edited:** 4/1/1999

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A-11-004-52 Protective Liaison Country - Program File - Arrange by TAGS and Terms

Description: Diplomatic notes, copies of faxes, memorandums, activity reports, telegrams, and

other documentation on diplomatic protection liaison assistance and support with national and international law enforcement agencies covering airports, consulate security surveys, demonstrations, details, diplomatic immunity, escorts, International Association of Chiefs of Police (IACP), investigations, traveling foreign officials and U.S. dignitaries, foreign mission property, requests for protective security, terrorist

information, threats, and other related subjects.

Disposition: Cut off file at end of each calendar year. Destroy 1 year after cut off date.

DispAuthNo: N1-59-94-43, item 89 Date Edited: 4/1/1999

A-11-004-53 Protective Liaison Reimbursement - Program File - Arrange by TAGS and

Terms

Description: Bills, correspondence, invoices, memorandums, and other documentation on

> reimbursements for protective security of foreign diplomats and missions covering budgets, cellular telephones, contracts, cooperative and interagency agreements, equipment inventories, foreign mission property throughout the U.S., security

coverage, tasking orders, and other related subjects.

Disposition: Cut off file at end of each calendar year. Destroy 7 years after cut off date.

4/1/1999 DispAuthNo: N1-59-94-43, item 90 Date Edited:

A-11-004-Threat - Case File - Correspondence, reports, and other documentation on 54a

terrorist, criminal, and other specific threats or actions taken against

individuals or property - Arrange by country

Description: a. Cases concerning diplomats, American citizens, Department personnel or

families, Department facilities or property, and cases of an unusual nature.

Permanent. Retire to RSC when no longer needed. Transfer to WNRC 1 year Disposition:

after received by RSC. Transfer to the National Archives when 30 years old.

DispAuthNo: NC-59-75-2 item 1a Date Edited: 4/1/1999

A-11-004-Threat - Case File - Correspondence, reports, and other documentation on 54b

terrorist, criminal, and other specific threats or actions taken against

individuals or property - Arrange by country

Description: b. All other cases.

Disposition: Destroy when 10 years old.

DispAuthNo: NC-59-75-2 item 1b Date Edited: 4/1/1999

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A-11-004-55 United Nations General Assembly (UNGA) Planning and Preparation Program

File - Arrange by TAGS and Terms

Description: Correspondence, memorandums, telegrams, and other documentation on

administrative planning and preparations for UNGA protective operations covering contracts, equipment, hotels, locator lists, protective detail assignments, support

agents, and other related subjects.

Disposition: Cut off file at end of each calendar year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 88 **Date Edited:** 4/1/1999

A-11-004-56 Financial Printouts Reports

Description: Printout: Monthly Transaction Status Reports, Unaccepted Documents &

Accepted/Rejected Documents, and other reports on financial activities covering

status, transactions, and other related subjects.

a. Updated Copies.

Disposition: Destroy when update has been printed.

DispAuthNo: N1-59-94-43, item 92a **Date Edited:** 4/1/1999

A-11-004- Financial Printouts Reports 56a

Description: Printout: Monthly Transaction Status Reports, Unaccepted Documents &

Accepted/Rejected Documents, and other reports on financial activities covering

status, transactions, and other related subjects.

b. Final Copies - 1311 Report, and other reports.

Disposition: Cut off file at end of fiscal year. Destroy 5 years after cut off date.

DispAuthNo: N1-59-94-43, item 92b **Date Edited:** 4/1/1999

A-11-004-60 Interagency Rewards Committee

Description: Minutes of meetings and copies of the memorandums and reports to the Secretary

of State and the Attorney General reflecting decisions of the committee.

Disposition: Permanent. Retire to RSC when 3 old for transfer to WNRC. Transfer to the

National Archives when 30 years old.

DispAuthNo: N1-59-94-43, item 78 **Date Edited:** 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-004-61a Overseas Security Advisory Council (OSAC) File - Arrange by TAGS and Terms

Description:

Correspondence, memorandums, telegrams and publications on overseas security problems covering businesses, business information, charter of OSAC, classified information, coordination, corporations, council members, emergency planning, enterprises, exchange of information, facilities, families, liaison, meetings, other agencies, personnel, private organizations, private sector, programs, protective security, questionnaires, security awareness, terrorism, threats, vulnerabilities, and other related subjects.

a. Records documenting the accomplishments of OSAC maintained by Department as OSAC chair. Records relating to: establishment, organization, membership, and policy of OSAC; and records created by OSAC: agenda, minutes, final reports, and related records documenting the accomplishments of OSAC maintained by Department as OSAC chair.

Disposition:

Permanent. Cut off file at end of calendar year. Retire to RSC 3 years after cut off date for transfer to WNRC. Transfer to National Archives 30 years after cut off date.

DispAuthNo:

N1-59-94-43, item 86a

Date Edited: 4/1/1999

A-11-004-61b **Overseas Security Advisory Council (OSAC) File**

Description:

Correspondence, memorandums, telegrams and publications on overseas security problems covering businesses, business information, charter of OSAC, classified information, coordination, corporations, council members, emergency planning, enterprises, exchange of information, facilities, families, liaison, meetings, other agencies, personnel, private organizations, private sector, programs, protective security, questionnaires, security awareness, terrorism, threats, vulnerabilities, and other related subjects.

b. All other OSAC records.

Disposition:

Destroy 3 years after cut off date or when no longer needed for reference, whichever is sooner.

DispAuthNo:

N1-59-94-43, item 86b

Date Edited: 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-004-62a Overseas Security Policy Group File (OSPG) - Arrange by TAGS and Terms

Description:

Correspondence, memorandums, and other documentation on interagency overseas security policies covering agendas, assistance, committees, interagency cooperation, issues, liaison, membership, minutes (drafts and finals), policies, security, standards handbook, talking points, working groups, and other related subjects.

a. Records documenting the accomplishments of OSPG maintained by Department as OSPG chair. Records relating to: establishment, organization, membership, and policy of OSPG; and records created by OSPG: agenda, minutes, final reports, and related records documenting the accomplishments of OSPG maintained by Department as OSPG chair.

Disposition: Permanent. Cut off file at end of each calendar year. Retire to RSC 3 years after

cut off date for transfer to WNRC. Transfer to National Archives 30 years after

cut off date.

DispAuthNo: N1-59-94-43, item 93a **Date Edited:** 4/1/1999

A-11-004-62b Overseas Security Policy Group File (OSPG)

Description: Correspondence, memorandums, and other documentation on interagency

overseas security policies covering agendas, assistance, committees, interagency cooperation, issues, liaison, membership, minutes (drafts and finals), policies, security, standards handbook, talking points, working groups, and other related

subjects.

b. All other OSPG records.

Disposition: Destroy 3 years after cut off date or when no longer needed for reference,

whichever is sooner.

DispAuthNo: N1-59-94-43, item 93b **Date Edited:** 4/1/1999

A-11-004-63a(1) Publicity Material. Posters, leaflets, and other hardcopy items audio and video public service announcements used to promote the program and target specific individuals

Description: a. Posters, leaflets, and other hard copy items

(1) Records set - Two copies of each poster, leaflet, or other hardcopy item.

Disposition: Permanent. Retire to RSC when 3 old for transfer to WNRC. Transfer to the

National Archives when 30 years old.

DispAuthNo: N1-59-94-43, item 79a(1) **Date Edited:** 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-004-Publicity Material. Posters, leaflets, and other hardcopy items audio and 63a(2)

video public service announcements used to promote the program and target

specific individuals

a. Posters, leaflets, and other hard copy items **Description:**

(2) All other copies.

Disposition: Destroy when no longer needed.

N1-59-94-43, item 79a(2) Date Edited: 4/1/1999 DispAuthNo:

A-11-004-Publicity Material. Posters, leaflets, and other hardcopy items audio and video public service announcements used to promote the program and target 63b(1)(a)

specific individuals.

b. Audio-visual Materials. **Description:**

(1) English language version of audio and video production

(a) Two copies in a professional audio-video format.

Disposition: Permanent. Retire to RSC when 3 old for transfer to WNRC. Transfer to the

National Archives when 30 years old.

DispAuthNo: N1-59-94-43, item 79b(1)(a) Date Edited: 4/1/1999

A-11-004-Publicity Material. Posters, leaflets, and other hardcopy items audio and 63b(1)(b) video public service announcements used to promote the program and target

specific individuals

Description: b. Audio-visual Materials.

(1) English language version of audio and video production

(b) All other copies.

Disposition: Destroy when no longer needed.

DispAuthNo: N1-59-94-43, item 79b(1)(b) Date Edited: 4/1/1999

A-11-004-Publicity Material. Posters, leaflets, and other hardcopy items audio and video public service announcements used to promote the program and target 63b(2)

specific individuals

Description: b. Audio-visual Materials.

(2) All other versions.

Disposition: Destroy when no longer needed.

Date Edited: 4/1/1999 DispAuthNo: N1-59-94-43, item 79b(2)

Chapter 11: Diplomatic Security Records

A-11-004-64a Reward Program For Terrorism Information File - Arrange file by case

Description:

Correspondence, reports, and other documentation on the Terrorism Information Reward Program covering after action reports, information, intelligence reports, payment records, and other related subjects.

a. Active Case Files.

Disposition: Review annually and remove inactive cases.

DispAuthNo: N1-59-94-43, item 76a **Date Edited:** 4/1/1999

A-11-004-64b(1) **Reward Program For Terrorism Information File**

Description: Correspondence, reports, and other documentation on the Terrorism Information

Reward Program covering after action reports, information, intelligence reports,

payment records, and other related subjects.

b. Inactive Case Files. Cases that have had no activity, new documentation or information added to them in 10 years - but the potential for new activity remains.

(1) Cases that involve threats to an individual's life or well being, threats against

facilities, property, threats against U.S. interests.

Arrange files by case

Disposition: Retain in office. Destroy when 50 years old.

DispAuthNo: N1-59-94-43, item 76b(1) **Date Edited:** 4/1/1999

A-11-004-64b(2) **Reward Program For Terrorism Information File**

Description: Correspondence, reports, and other documentation on the Terrorism Information

Reward Program covering after action reports, information, intelligence reports,

payment records, and other related subjects.

b. Inactive Case Files. Cases that have had no activity, new documentation or

information added to them in 10 years - but the potential for new activity remains.

(2) All other types of cases.

Disposition: Retain in office. Destroy when 20 years old.

DispAuthNo: N1-59-94-43, item 76b(2) **Date Edited:** 4/1/1999

Chapter 11: Diplomatic Security Records

A-11-004-64c(1)

Reward Program For Terrorism Information File

Description: Correspondence, reports, and other documentation on the Terrorism Information

Reward Program covering after action reports, information, intelligence reports,

payment records, and other related subjects.

c. Closed case files.

(1) Cases that involved threats to an individual's life or well being, threats against

facilities, property, threats against U.S. interests.

Disposition: Retire to RSC when no longer needed for transfer to WNRC. Destroy 50 years

after date received.

DispAuthNo: N1-59-94-43, item 76c(1) **Date Edited:** 4/1/1999

A-11-004-64c(2) **Reward Program For Terrorism Information File**

Description: Correspondence, reports, and other documentation on the Terrorism Information

Reward Program covering after action reports, information, intelligence reports,

payment records, and other related subjects.

c. Closed case files.

(2) All other types of cases.

Disposition: Retire to RSC when no longer needed for transfer to WNRC. Destroy 10 years

after date received.

DispAuthNo: N1-59-94-43, item 76c(2) **Date Edited:** 4/1/1999

A-11-004-65 Rewards Program Subject Files

Description: Memorandums, notes, chits, telegrams, reports, and other material relating to the

Rewards Program.

Disposition: Permanent. Retire to RSC when 3 years old for transfer to WNRC. Transfer to

the National Archives when 30 years old

DispAuthNo: N1-59-94-43, item 77 **Date Edited:** 4/1/1999

DS/T Front Office

A-11-005-01 Reference Files

Description: Copies of printed reports, special studies, internal instructions, information

materials, legislative publications and other documents used solely for reference

purposes. (Official copy retained in subordinate office(s)).

Disposition: Destroy material when no longer needed for reference.

DispAuthNo: Non-record Date Edited: 12/1/2006

Chapter 11: Diplomatic Security Records

Office of Training and Performance Support

A-11-006-01 Building Passes for Persons who are not Department Employees- Case File

Description: Applications for Department of State Building Pass (DSP-97), and Security

> Investigation Data for Nonsensitive Position (SF-85) on persons who are not Department employees covering applications, building passes, investigations, and

other related subjects. (Also at A-11-003-12)

Destroy 1 year after cancellation of pass. Disposition:

N1-59-94-43, item 30 Date Edited: 10/20/2006 DispAuthNo:

A-11-006-02 Fire and Security Alarms File - Arrange by TAGS and Terms

Description: Correspondence, and reports on fire and security alarms covering opening and

closing schedules, lists of authorized personnel, alarm reports, and other related

subjects. (Also at A-11-003-15)

Disposition: Cut off file at the end of each calendar year. Destroy 3 years after cut off date.

DispAuthNo: GRS 18, item 10 Date Edited: 10/20/2006

Internal Investigation - Program Files - Documentation on investigations A-11-006-03a

covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description: a. Entry Notices. (Also at A-11-003-18a)

Disposition: Destroy when 3 months old.

DispAuthNo: N1-59-94-43, item 32a Date Edited: 10/20/2006

A-11-006-Internal Investigation - Program Files - Arrange by case. Documentation on investigations covering access controls, fires, medical emergencies, security 03b

inspections, and other related subjects

Description: b. Evidence, Reports, testimony, witnesses' statements, etc. (Also at A-11-003-18b)

Disposition: Destroy 2 years after case is completed.

DispAuthNo: N1-59-94-43, item 32b Date Edited: 10/20/2006

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A-11-006-

Internal Investigation - Program Files - Documentation on investigations 03c covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

c. Forms

Accident Report, GSA (SF-94-A), Claim for Damage, Injury or Death (SF-95), Data

Bearing on Scope of Employment of Motor Vehicle Operator

(OF-26), Operator's Report of Motor Vehicle Accident (SF-91), Statement of

Witness (SF-94). (Also at A-11-003-18c)

Disposition:

Destroy when 3 years old.

DispAuthNo:

N1-59-94-43, item 32c

Date Edited:

10/20/2006

A-11-006-03d(1)

Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

d. Logs

(1) Short Term Logs, Equipment Issue Log, Key Sign Out Log, Post Duty Log, Tape

Log, 24 Hour Duty Log. (Also at A-11-003-18d(1))

Disposition: Destroy when 3 months old.

N1-59-94-43, item 32d(1)

Date Edited:

10/20/2006

A-11-006-03d(2)

Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

DispAuthNo:

d. Logs

(2) Long Term Logs, Alarm Logs, Employee Logs, Visitor Logs. (Also at A-11-003-

18d(2))

Disposition:

Destroy when 2 years old.

DispAuthNo:

N1-59-94-43, item 32d(2)

Date Edited:

10/20/2006

Chapter 11: Diplomatic Security Records

A-11-006-

03e

Internal Investigation - Program Files - Documentation on investigations covering access controls, fires, medical emergencies, security inspections,

and other related subjects

Description:

f. Reports

(2) Long Term Reports, Event Reports, Lost and Found Reports, Vehicle Activity and Inspection Reports, Vehicle Incident Reports, Vehicle Maintenance Reports,

Vehicle Monthly Reports. (Also at A-11-003-18f(2))

Disposition:

Destroy when 2 years old.

DispAuthNo:

N1-59-94-43, item 32f(2)

Date Edited:

10/20/2006

A-11-006-04

Special Events Security - Program File - Arrange by event

Description:

Correspondence, memorandums, reports, on all aspects of physical security for special events that the Department hosts covering conferences, dignitary visits, guard orders, guests and participants lists, local police, meetings, requests for security requirements, technical security, training, U.S. Marine Corps, VIP escort

requests, and other related other subjects. (Also at A-11-003-19)

Disposition:

Destroy when 3 months old.

DispAuthNo:

N1-59-94-43, item 34

Date Edited:

10/20/2006

A-11-006-05

Countermeasures - Program File - Arrange by TAGS and Terms

Description:

Memorandums, reports, and telegrams on technical and physical security covering accreditation, anti-terrorism, buildings, construction, contractors, countermeasures, debugging, demolition, detection equipment, electronic security, emanations, inspections, receipts, systems development, and other related subjects. (Also at A-11-003-20)

Disposition:

Cut off at the end of each calendar year. Destroy 2 years after cut off date.

DispAuthNo:

N1-59-94-43, item 62

Date Edited:

10/20/2006

A-11-006-06

Emanations Security - Program File - Arrange by TAGS and Terms

Description:

Correspondence, memorandums, reports, studies, and telegrams on emanation security covering coordination, equipment, inspections, product evaluation, shielding, tempest, testing, training, zones of control, and other related subjects.

(Also at A-11-003-23)

Disposition:

Cut off file at the end of every 5 calendar years. Destroy 5 years after cut off date.

DispAuthNo:

N1-59-94-43, item 63

Date Edited:

10/20/2006

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A-11-006-07 Emanations Security Post - Program File - Arrange by post

Description: Memorandums, reports, and telegrams on emanation security covering Automated

Emanations Security Operations Program (AESOP) reports, construction,

coordination, equipment, floor plans, inspections, shielding, tempest, testing, travel schedules, zones of control, and other related subjects. (Also at A-11-003-24)

Disposition: Destroy 4 years after all requirements have been met.

DispAuthNo: N1-59-94-43, item 64 **Date Edited:** 10/20/2006

A-11-006-08 Defensive Equipment - Program Files - Arrange by country

Description: a. Armored Vehicles File.

Telegrams and reports on defensive equipment covering cars, condition,

maintenance, planning, requests for, vehicles, and other related subjects. (Also at

A-11-003-50a)

Disposition: Destroy 1 year after vehicle is no longer owned by Department.

DispAuthNo: N1-59-94-43, item 47a **Date Edited:** 10/20/2006

A-11-006- Physical Security Laboratory-

Physical Security Laboratory- Program Files Documentation on physical security evaluations and testing covering equipment, locks, training,

interagency liaison, and other related subjects- Arrange by post

Description: Technical Date File. (Also at A-11-003-51b(2))

Disposition: Cut off file at end of each calendar year. Destroy 3 years after cut off date.

DispAuthNo: N1-59-94-43, item 48b(2) **Date Edited:** 10/20/2006

A-11-006-

09a

09b

6- Physical Security Laboratory - Program Files - Documentation on physical

security evaluations and testing covering equipment, locks, training, interagency liaison, and other related subjects - Arrange by subject

Description: c. Training Material File. (Also at A-11-003-51c)

Disposition: Destroy when updated or obsolete.

DispAuthNo: N1-59-94-43, item 48c **Date Edited:** 10/20/2006

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A-11-006-10 File Cabinet or Vault Security Forms

Description: Forms:

Security Container Information (SF-700) and Security Container Checksheet (SF-702) used to record access to unclassified sensitive, administratively controlled, or classified information, and valuable equipment and property in file cabinets, file safes, or other security containers, and vaults, controlled access areas, and

facilities. (Also at A-11-003-61)

Disposition: Destroy 1 year after last date entered on sheet.

DispAuthNo: NC-59-75-2, item 3 **Date Edited:** 10/20/2006

A-11-006-11a(1) Routine Procurement and Contract Files-Contracts, requisitions, purchase orders, leases, and bond and surety records, including correspondence and related papers pertaining to award, administration, receipt, inspection and

payment

Description: a. Original Contracts

(1) Transactions of more than \$25,000 and all construction contracts exceeding

\$2,000. (Also at A-03-004-06a(1))

Disposition: Destroy 6 years and 3 months after final payment.

DispAuthNo: GRS 3, item 3a(1) **Date Edited:** 10/20/2006

A-11-006-11a(2) Routine Procurement and Contract Files-Contracts, requisitions, purchase orders, leases, and bond and surety records, including correspondence and related papers pertaining to award, administration, receipt, inspection and

payment

Description: a. Original Contracts

(2) Transactions of \$25,000 or less and construction contracts under \$2,000.

(Also at A-03-004-06a(2))

Disposition: Destroy 3 years after final payment.

DispAuthNo: GRS 3, item 3a(2) **Date Edited:** 10/20/2006

A-11-006-11b Routine Procurement and Contract Files-Contracts, requisitions, purchase orders, leases, and bond and surety records, including correspondence and related papers pertaining to award, administration, receipt, inspection and

payment

Description: b. Other copies. (Also at A-03-004-06b)

Disposition: Destroy upon termination or completion.

DispAuthNo: GRS 3, item 3c **Date Edited:** 10/20/2006

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A-11-006-12 Transportation Files

Description: Contain office copies of government or commercial bills of lading, commercial

transportation vouchers (SF-1113A) and transportation requests (SF-169), travel

authorizations and supporting documents.

Disposition: Cut off at end of fiscal year. Destroy 6 years after the period of the account.

DispAuthNo: GRS 9, item 1c **Date Edited:** 10/20/2006

A-11-006-13 Visitor Control Files

Description: Registers or logs used to record names of outside contractors, service personnel,

visitors, employees admitted to areas, and reports on automobiles and passengers.

Disposition: a.For areas on maximum security. Destroy 5 years after final entry or 5 years

after date of document, as appropriate.

For other areas. Destroy 2 years after final entry or 2 years after date of

document, as appropriate.

DispAuthNo: GRS 18, item 17 **Date Edited:** 10/20/2006

Chapter 11: Diplomatic Security Records

DS/SI

A-11-007-01 Tracking and Control Records

Description: Logs, registers, and other records, regardless of media, used to control or

document the status of correspondence, reports, and other records authorized for destruction. Included are correspondence control forms, routing slips, job control

records and other similar records used solely to control work flow.

Disposition: Temporary. Destroy or delete when 2 years old, or 2 years after the date of the

latest entry, whichever is applicable.

DispAuthNo: GRS 23, item 8 **Date Edited:** 3/30/2007

A-11-007-02 Time and Attendance Source Records

Description: All time and attendance records upon which leave input data is based, such as sign-

in sheets; DS-1194, Time and Attendance Reports; JF-56, Authorization of Premium Compensation; DS-1734M, Tatel/PC (draft and final report); DS-1216, Leave Statements; flextime records, and leave applications for jury and military

duty. Records may be in either electronic or paper form.

Disposition: Temporary. Block files annually. Retire yearly accumulations of one box or more

to RSC when no longer needed for transfer to WNRC. Destroy after GAO audit or

when 6 years old, whichever is sooner.

DispAuthNo: GRS 2, item 7 **Date Edited:** 3/30/2007

A-11-007-03 Leave Slips-Application for Leave, OPM 71, or requests for and approvals of

leave

Description: a. If timecard or TATEL DS-1734M draft has been initialed by employee.

Disposition: Temporary. Destroy leave slip at end of following pay period.

DispAuthNo: GRS 2, item 6a **Date Edited:** 3/30/2007

A-11-007-04 Leave Slips-Application for Leave, OPM 71, or requests for and approvals of

leave

Description: b. If timecard or TATEL draft has not been initialed by employee.

Disposition: Temporary. Destroy leave slip after GAO audit or when 3 years old, whichever is

sooner.

DispAuthNo: GRS 2, item 6b **Date Edited:** 3/30/2007

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A-11-007-05 Travel Vouchers

Description: a. Routine administrative records including correspondence, forms, and related

records pertaining to commercial and noncommercial agency travel and

transportation.

Disposition: Temporary. Destroy when 2 years old.

DispAuthNo: GRS 9, item 4 Date Edited: 3/30/2007

A-11-007-06 Travel Vouchers

Description: b. Accountability records documenting the issue or receipt of accountable

documents.

Disposition: Temporary. Destroy 1 year after all entries are cleared.

DispAuthNo: GRS 9, item 4 Date Edited: 3/30/2007

A-11-007-07 Duplicate Personnel Files

Description: a. Supervisor's Personnel Files.

Correspondence, forms, and other records relating to positions, authorizations, pending actions, position descriptions, requests for personnel action, and records

on individual employees duplicated in or not appropriate for the OPF.

Disposition: Temporary. Review annually and destroy superseded or obsolete documents, or

destroy file relating to an employee within 1 year after separation or transfer.

DispAuthNo: GRS 1, item 18a **Date Edited:** 3/30/2007

A-11-007-08 Budget Background Records

Description: Cost statements, rough data and similar materials accumulated in the preparation of

annual budget estimates, including duplicates of budget estimates and justifications and related appropriation language sheets, narrative statements, and related schedules; and originating offices' copies of reports submitted to budget offices.

Disposition: Temporary. Destroy 3 years after close of fiscal year covered.

DispAuthNo: GRS 5, item 2 **Date Edited:** 3/30/2007

A-11-007-09 Budget Estimates and Justifications

Description: Budget estimates and justifications prepared or consolidated by bureaus and their

subordinate organizational units.

Disposition: Temporary. Destroy 3 years after close of fiscal year covered.

DispAuthNo: NC1-59-77-26, item 9 **Date Edited:** 3/30/2007

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A-11-007-10 Financial Plans

Description: Financial plans and related worksheets, reports and communications.

Disposition: Temporary. Destroy 3 years after close of fiscal year in which prepared.

DispAuthNo: NC1-59-77-26, item 11 **Date Edited:** 3/30/2007

A-11-007-11 Chron Files

Description: Copies of all correspondence approved and signed by the Director. Includes special

studies, internal instructions and reports. (Official copy retained in subordinate

office(s)).

Disposition: Temporary. Destroy material when no longer needed for reference or after 3 years

old.

DispAuthNo: Non-record **Date Edited:** 3/30/2007

A-11-007-12 Congressional Inquiries

Description: Reference copies of records regardless of media of all incoming and outgoing

congressional taskers, and action offices' responses on security clearances inquiries. Documents used solely for reference purposes. (Official copy retained in

subordinate office(s)).

Disposition: Temporary. Destroy after 2 years old or no longer needed.

DispAuthNo: Non-record Date Edited: 3/30/2007

A-11-007-13 Award Files

Description: Reference copies of electronic records of awards, nominations, correspondence,

reports and other related incentive awards. Documents used solely for reference

purposes. (Official copy retained in subordinate office(s)).

Disposition: Temporary. Maintain until no longer needed.

DispAuthNo: Non-record Date Edited: 3/30/2007

Chapter 11: Diplomatic Security Records

Office of Information Security

A-11-008-01a Communications Security (COMSEC) Incidents - Program File

Description:

Report of Violation of Communications Security (FS-507) and other documentation on communications security (COMSEC) covering infractions, loss, theft, or unauthorized viewing of cryptographic material, the transmission of unencrypted classified telegrams by exposed communications channel, and other related subjects. Arranged by post.

a. On-going Cases.

Disposition: Temporary. Retain in office until case is closed.

DispAuthNo: N1-59-94-43, item 39a **Date Edited:** 12/20/2006

A-11-008-01b Communications Security (COMSEC) Incidents - Program File

Description: b. Inactive cases that have long terms security interest.

Disposition: Temporary. Retain in office until there has been no active interest for 10 years

then retire to Records Storage Center (RSC). Destroy 30 years after date RSC

received.

DispAuthNo: N1-59-94-43, item 39b **Date Edited:** 12/20/2006

A-11-008-

01c

Communications Security (COMSEC) Incidents - Program File

Description: c. Closed cases that have no further security interest.

Disposition: Temporary. Retire to Records Storage Center (RSC) 3 years after cut off date.

Destroy 7 years after cut off date.

DispAuthNo: N1-59-94-43, item 39c **Date Edited:** 12/20/2006

A-11-008-02a Security Incidents - Program File

Description: Correspondence, memorandums, telegrams, Notice of a Security Violation (OF-

117), and Record of Violation (OF-118) on security violations covering classified

material, security regulations, and other related subjects.

a. On-going Cases.

Disposition: Retain in office.

DispAuthNo: N1-59-94-43, item 38a **Date Edited:** 12/20/2006

Chapter 11: Diplomatic Security Records

A-11-008-02b(1) Security Incidents - Program File

Description:

b. Closed Cases.

(1) Historical Cases. File relating to incidents that receive Congressional, media, or

major public interest.

Disposition: Permanent. Retain in office. Retire to Records Storage Center (RSC) after death

of violator, if known, or after 50 years, whichever comes first for immediate

transfer to National Archives.

DispAuthNo: N1-59-94-43, item 38b(1)

Date Edited: 12/20/2006

A-11-008-02b(2) **Security Incidents - Program File**

Description: b. Closed Cases.

(2) All Other Cases.

Disposition: Temporary. Destroy 5 years after case is closed.

DispAuthNo: N1-59-94-43, item 38b(2) **Date Edited:** 12/20/2006

A-11-008-03a Cyber Security Incidents - Program File -

Description: Correspondence, memorandums, telegrams, Notice of a Security Violation (OF-

117), and Record of Violation (OF-118) on security violations covering classified

material, security regulations, and other related subjects.

a. On-going Cases.

Disposition: Retain in office.

DispAuthNo: N1-59-94-43, item 38a **Date Edited:** 12/20/2006

A-11-008-03b(1) Cyber Security Incidents - Program File

Description: b. Closed Cases.

(1) Historical Cases. File relating to incidents that receive Congressional, media, or

major public interest.

Disposition: Permanent. Retain in office. Retire to Records Storage Center (RSC) after death

of violator, if known, or after 50 years, whichever comes first for immediate

transfer to National Archives.

DispAuthNo: N1-59-94-43, item 38b(1) **Date Edited:** 12/20/2006

Chapter 11: Diplomatic Security Records

A-11-008-

Cyber Security Incidents - Program File

03b(2)

Description: b. Closed Cases.

(2) All Other Cases.

Disposition: Temporary. Destroy 5 years after case is closed.

DispAuthNo: N1-59-94-43, item 38b(2) **Date Edited:** 12/20/2006